

**KARUK TRIBE**  
**ANNUAL HEALTH BOARD MEETING AGENDA**  
Thursday, March 14, 2013, 3 PM, *Happy Camp, CA*

**A) CALL MEETING TO ORDER – PRAYER - ROLL CALL**

**AA) HEALTH MISSION STATEMENT**

The mission of the Karuk Tribal Health Program is to provide quality healthcare for Native Americans, and other people living in the communities we serve as resources allow. Our purpose is to appropriately assess or reassess conditions of illness, disease, or pain, provide culturally appropriate educational, preventative, and therapeutic services in an environment of continuous quality improvement.

**CH) APPROVAL OF THE AGENDA**

**EE) APPROVAL OF THE MINUTES** (*February 14, 2013*)

**F) GUESTS** (*Ten Minutes Each*)

1. Angel Aubrey, Volunteer

**H) OLD BUSINESS** (*Five Minutes Each*)

- 1.

**I) DIRECTOR REPORTS** (*Ten Minutes Each*)

1. Carolyn Ash, Dental Director (written report)
2. Patricia White, RPMS Site Manager (written report)
3. Annie Smith, Director of Community Services (written report)
4. Lester Alford, TANF Program (written report)
5. Rondi Johnson, Deputy Director (written report)
6. Eric Cutright, IT Director (written report)
7. Lessie Aubrey, Executive Director of Health & Human Services (written report)

**II) GUESTS: EMPLOYEE / NON HEALTH:**

- 1.

**K) REQUESTS** (*Five Minutes Each*)

- 1.

**M) INFORMATIONAL** (*Five Minutes Each*)

- 1.

**N) CLOSED SESSION** (*Five Minutes Each*)

1. CHS (dinner break)
2. Sharon Denz
3. Kayla Bridwell
4. Melinda Bennett
5. April Attebury
6. Tribal Council Members

**OO) SET DATE FOR NEXT MEETING (Thursday, April 11, 2013 at 3 PM in Happy Camp)**

**P) ADJOURN**

**Karuk Tribe – Annual Health Board Meeting  
February 14, 2013 - Meeting Minutes**

**Meeting called to order at 2:56pm by Chairman, Russell “Buster” Attebery**

**Present:**

Russell “Buster” Attebery, Chairman  
Amos Tripp, Member at Large  
Dora Bernal, Member at Large  
Charron “Sonny” Davis, Member at Large  
Alvis “Bud” Johnson, Member at Large  
Crispen McAllister, Member at Large

**Absent:**

Michael Thom, Vice-Chairman (travel – excused)  
Joseph “Jody” Waddell, Secretary / Treasurer (travel – excused)  
Elsa Goodwin, Member at Large (travel – excused)

The Health Mission Statement was read aloud by Buster Attebery and the prayer was done by Sonny Davis.

**Minutes of January 10, 2013:**

Dora Bernal moved and Crispen McAllister seconded to approve the minutes of January 10, 2013, 5 haa, 0 puuhara, 0 pupitihara.

**Agenda:**

Amos Tripp moved and Bud Johnson seconded to approve the agenda, 5 haa, 0 puuhara, 0 pupitihara.

**Guests:**

**1.) Davey Davis, Tribal Member:**

Not present

**2.) Jessica Van Arsdale, MD, MPH, HSU**

Jessica is present to discuss a survey for community health and wellness. She announced that the survey is going to be to obtain information regarding to health and the social determination of health regarding housing, transportation, etc to determine any factors in health. The survey is anonymous and there will be no identifiers in the survey. This will be done in Humboldt County and in the Happy Camp area. It will be sent toward the end of March. For incentives to participate there will be a raffle with cash prizes. Another strategy is to have different groups and Tribes to endorse the survey. The cover letter will include those organizations names. With enough participation the group will analyze the data and provide a report to the Council. The data can be provided to the Tribes health program as well.

Amos asked if there was an identifying on where the patients receive healthcare. There is a generalized question.

Consensus: to support Humboldt County’s health and wellness Center.

**Old Business:**

None at this time.

## **Director Reports:**

### **1.) Carolyn Ash, Dental Director:**

Is not present, no action items.

Crispen McAllister moved and Bud Johnson seconded to approve Carolyn's report, 5 haa, 0 puuhara, 0 pupitihara.

Buster announced that the Directors highlight the new departmental duties, and then the Council will read the reports. If there are questions then those will be addressed and the Directors will not be going verbatim through their entire reports.

### **2.) Annie Smith, Outreach:**

Annie was late but arrived to present her two action items.

She would like to seek approval of an agreement to be involved with the process and assistance in the event of an overflow during an emergency in Yreka, Fairchild Medical Center. This will cover a period of time during the disaster taking place and the declaration of the disaster. Tiffany signed the agreement and Jaclyn did as well. They did note concerns; such as the compensation rate. The contract is specific in that the sharing of resources will be compensated at the current rates. The agreement will not include information in the Stratford Act. Tom Fielden and she will be the contacts.

Amos Tripp moved and Crispen McAllister seconded to approve MOU 13-M-002 with Fairchild Medical Center, 5 haa, 0 puuhara, 0 pupitihara.

Her second action item is a vehicle purchase through Ishpook Leasing in the amount of \$27,950 from Ellis Brooks Honda for a CRV.

Amos Tripp moved and Crispen McAllister seconded to approve procurement and allow the purchase of a vehicle from Ellis Brooks from Ishpook Leasing in the amount of \$27,950, 5 haa, 0 puuhara, 0 pupitihara.

Crispen McAllister moved and Bud Johnson seconded to approve Annie's report, 5 haa, 0 puuhara, 0 pupitihara.

### **3.) Lester Alford, TANF Director:**

Lester is present to review his report. He noted the current caseload. He provided the AOD schedule as he has been working with the Tribes program as well as TANF. He will have training with Shingle Springs Rancheria to receive tidbits on how to implement a program successfully.

He noted the program that recently became implemented which was the NEW program.

He went to the Tribal TANF Coalition Meeting, which he will forward the notes of that meeting moving forward.

Phil's cultural courses are going well and received more participation this last event. They anticipate further discussions and groups moving forward.

In the Education Meeting that was done today, the TANF/NEW programs helping with education is something that may be done, so the group is excited to see how the programs can work together to provide the best service to the Membership. Also, the Council appreciates Lester's hard work as the complaints have gone down tremendously.

Lester then presented one action item. It is a MOU with the Hoopa Food Distribution. He finalized it with the Hoopa Tribe and their attorney's. Their Directors are allowed to sign MOU's without funding connections.

Amos Tripp moved and Crispen McAllister seconded to approve MOU 13-M-003 with the Hoopa Tribe Food Program, 5 haa, 0 puuhara, 0 pupitihara.

Crispen McAllister moved and Bud Johnson seconded to approve Lester's report, 5 haa, 0 puuhara, 0 pupitihara.

**4.) Vickie Simmons, GPRA Coordinator:**

Vickie is present to provide her Clinical Operations Manager report. Her report contains information on the Tribes operations for the whole year.

Vickie introduced Rondi Johnson and noted that she will be providing this report moving forward as Vickie has moved to the GPRA position.

Crispen McAllister moved and Sonny Davis seconded to approve Vickie's report, 5 haa, 0 puuhara, 0 pupitihara.

**5.) Eric Cutright, IT Director:**

Eric is present to review his report with the Council. He has one action item, which he distributed. It is a Humboldt County building permit to build a tower on KTHA's property in Orleans. The tower will immediately be used for internet service. It has the capability to enter into providing cell services in the future if the Council chooses.

Amos Tripp moved and Crispen McAllister seconded to approve the application for Humboldt County building permits, 5 haa, 0 puuhara, 0 pupitihara.

His second action item is not prepared yet. Verizon consultation will be set in April and Eric is working with Barbara on dates for that to be held in Orleans.

Eric updated the Council on the additional space needed for backup and space that was needed. The order has shipped but hasn't arrived yet.

Crispen McAllister moved and Bud Johnson seconded to approve Eric's report, 5 haa, 0 puuhara, 0 pupitihara.

**6.) Suzanna Hardenburger, Business Office Manager:**

Not present, written report provided.

Dora Bernal moved and Amos Tripp seconded to approve Suzanna's report, 5 haa, 0 puuhara, 0 pupitihara.

**7.) Flo Lopez, Safety Officer Report:**

Buster noted that there were some issues with an elder in Happy Camp. Buster thanked Flo and Michelle for helping the Elder.

Flo updated the Council on her report. The update is that the CPR training; provided for 1,500 persons to receive training and 100 people trained in CERT. Home visits cannot be captured in the RPMS system but the staff is out there providing services. Flo noted that there are hours that are spent with each elder and not every check is a fifteen minute visit but sometimes it's up to 4 hours at one elders home.

The winter storm discussion noted that an Elder's generator quit working. The elder wanted an electric start generator because he was unable to pull it and start it. The homeowners in Happy Camp and Orleans desperately need this type of equipment. Flo would like to purchase a couple and then raffle those off moving forward each year.

Flo then went on to break down a client list by Happy Camp roads and they will be coded by what the elder's mobility is. She would like to map this to ensure the Elders are captured and identified on their exact location with needs. Part of the emergency preparedness position is doing this and she should really be working on this.

Dora then asked about Flo's notation of not having a position description. Flo would like her position description to match what she is responsible for and to clearly identify what the expectations are.

Amos Tripp moved and Crispen McAllister seconded to approve Flo's report, 5 haa, 0 puuhara, 0 pupitihara.

**8.) Lessie Aubrey, EDHHS:**

Lessie is present to review her report. She noted that Michael Thom asked for permission to attend tribal consultation.

Amos Tripp moved and Crispen McAllister seconded to approve Michael's travel to IHS COO in Pala CA, March 11-14, 2013 and to be the Tribes voting delegate, 5 haa, 0 puuhara, 0 pupitihara.

She then noted that the attorney fees for fighting MediCal cuts came in at \$41,000 and with all tribes participating then the cost would be \$3,000 per Tribe. She is requesting to pay an additional \$1,500 toward the Karuk Tribes portion of the attorney invoice. It is simply a request but all other Tribes are being asked to contribute this amount as well.

Dora would like to have an update on the law suit prior to paying the invoice. This will be tabled until a final report is done. This will be tabled to Thursday's Planning Meeting.

Dora Bernal moved and Crispen McAllister seconded to approve Lessie's report, 5 haa, 0 puuhara, 0 pupitihara.

**9.) Patti White, Database Administrator:**

Patti is present to provide her report to the Health Board. She noted that the annual HIPAA security training that is required by Indian Health Services is upon us and she is preparing to send out notice regarding that training requirement of all staff. She noted that the e-prescribing of medications has been developed. This has the physicians sending direct scripts to pharmacies now and then they can also access that information between facilities. The information in Dr. Ash's

report contained Dentrix information and it was identified that it cannot be linked to RPMS so ideas to move the dental program to EHR will be re-evaluated. The operations summary was for the whole year of 2012.

Amos asked about her 2012 registered patients and 6,000 active patients. She clarified that it is a patient that has been seen one or more times within one year. It is inclusive of all patients. The report can break out how many Indian's there are vs Non-Indians. Patti will provide that report moving forward. Patti noted that the active patient list is within the 3,000 range with Indian Health Services. Amos clarified that this is what is used for funding so capturing or staying up to speed on use is informative.

Amos Tripp moved and Crispen McAllister seconded to approve Patti's report, 5 haa, 0 puuhara, 0 pupitihara.

**10.) Dr. Milton, Medical Provider:**

Dr. Milton is not present and no report was provided. This will be tabled to the Planning Meeting.

**Non-Health Employees:**

**1.) Bucky Lantz, Transportation Department:**

Bucky is present to discuss two matters with the Tribal Council. He introduced himself to the Council and audience. He first would like to seek approval for out of state travel to Vancouver WA, it is free and offered through the Federal Highways Administration.

He then presented out of state travel to Vancouver WA, for Sandi Tripp. Her training consists of survey contracts, project documentation, that pertains to her oversight.

Crispen McAllister moved and Bud Johnson seconded to approve both out of state travels (Bucky Lantz to Vancouver WA., February 24-March 2, 2013 and Sandi Tripp, to Vancouver WA., March 10-14, 2013), 5 haa, 0 puuhara, 0 pupitihara.

**2.) Ashlee King, KTHA:**

Ashlee is present to seek approval of an MOU for property that KTHA owns and between the property owners. In the past there are concerns over the limited waiver of sovereign immunity. The staff will review the (Agreement for title and settlement services) this will only pertain to First American Title Insurance Company.

Amos Tripp moved and Crispen McAllister seconded to approve the template with First American Title Insurance Company, 5 haa, 0 puuhara, 0 pupitihara.

She then would like to seek approval of agreement 13-A-021.

Amos Tripp moved and Bud Johnson seconded to approve agreement 13-A-021, 5 haa, 0 puuhara, 0 pupitihara.

**3.) Tiffany Ashworth, Dir. Of Admin Programs & Compliance:**

Tiffany is present to seek approval of contract 13-C-038 between KAS and Associates for the architectural Design and Structural Engineering for the Yreka Wellness Center facility.

Amos Tripp moved and Crispen McAllister seconded to approve contract 13-C-038 with KAS & Associates, 5 haa, 0 puuhara, 0 pupitihara.

Tiffany then sought approval of Tribal Education Management training in Phoenix AZ March 6-8, 2013 for 2 Education Committee Members.

Dora Bernal moved and Amos Tripp seconded to approve 2 Education Committee Members for the travel to Phoenix AZ., March 6-8, 2013 (unknown at this time), 5 haa, 0 puuhara, 0 pupitihara.

She then noted that she had a phone vote for contract 13-C-034 for electric work. She noted that that is unable to take place, so she would now like to go with another contractor.

Dora Bernal moved and Crispin McAllister seconded to void contract 13-C-034, 5 haa, 0 puuhara, 0 pupitihara.

She then presented another contract 13-C-039 of a contract to complete the needed electrical work with "The Election, inc" for the re-wiring of the billing office.

Amos Tripp moved and Crispin McAllister seconded to approve contract 13-C-039, 5 haa, 0 puuhara, 0 pupitihara.

Tiffany went on to seek approval of Craig's items. She sought approval of amendment (2) to contract 12-C-073 to increase the amount of the contract by \$60,000.

Amos Tripp moved and Crispin McAllister seconded to approve contract 12-C-073 (2), 5 haa, 0 puuhara, 0 pupitihara.

She sought approval of his next item which is amendment (1) to contract 12-C-070 with the USS Papadopoulos and Associates.

Amos Tripp moved and Crispin McAllister seconded to approve (1) to 12-C-070, 5 haa, 0 puuhara, 0 pupitihara.

**4.) Patty Brown, Head Start Director:**

Patty is present to seek approval of resolution 13-R-007 for supplemental funding for head start travel and training.

Amos Tripp moved and Sonny Davis seconded to approve resolution 13-R-007 for authorization to seek additional funding, 5 haa, 0puuhara, 0 pupitihara.

**Requests:**

**1.) Happy Camp Community Easter Egg Hunt:**

A written request was made to seek funding or a donation for the Happy Camp Community Easter Egg hunt.

Amos Tripp moved and Dora Bernal seconded to approve \$300 for the community Easter egg, 5 haa, 0 puuhara, 0 pupitihara.

**Closed Session:**

Consensus: to refer Tribal Member #2257 to Contract Health Services to follow the process.



Directive: employee #7226 will sign the agreement as drafted with employees 3413, 5621, 6761, and 5820. Employee #5823 will be transferred immediately to another work location for three months, Tribe will authorize mileage to be provided, re-evaluate in May to determine another course of action. Locum Tennans contract will be tabled to Thursday's Planning Meeting.

Sonny Davis moved and Amos Tripp seconded to appoint Tribal Member's #1672 and 516 to the Election Committee, 5 haa, 0 puuhara, 0 pupitihara.

Amos Tripp moved to provide up to \$1,500 for employee #5820: died on the floor for lack of a second.

Dora Bernal moved and Sonny Davis seconded to provide up to \$1,000 to employee #6761 and offer a loan for the remainder of the request, 5 haa, 0 puuhara, 0 pupitihara.

Dora Bernal moved and Crispen McAllister seconded to pay \$1,500 for employee #0790 request and offer a loan on the remaining balance, 5 haa, 0 puuhara, 0 pupitihara.

Informational: Employees 8628 and 0506 are present to provide an update and share information regarding gaming to the Tribal Council.

Consensus: KCDC interviews will be the Tribal Council, one KCDC Board Member, HR, and TERO on 2/19.

Crispen McAllister moved and Amos Tripp seconded to approve travel for Dora Bernal to the NIGA Conference March 24-27, 2013, 5 haa, 0 puuhara, 0 pupitihara.

**Next Meeting Date:** March 14, 2013 at 3pm in Happy Camp, CA.

Crispen McAllister moved and Amos Tripp seconded to adjourn the meeting at 7:46pm, 5 haa, 0 puuhara, 0 pupitihara.

**Respectfully Submitted,**

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**Russell "Buster" Attebery, Chairman**

**Recording Secretary, Barbara Snider**



# Karuk Tribe

## Karuk Tribal Health Board Report For Meeting Date March 14, 2013

### Dental Yreka Report as of February 28, 2013

1. Last month I discussed Patti acquiring an interface from Cimarron that will be of different origin for our Dentrix system than would have originally been planned and will save the tribe thousands of dollars. The interface will allow our two systems (Dentrix and RPMS), to communicate so that double entries are not required as has been done in the past. This interface was projected to deploy sometime in February. Of course there has been a slight deviation in plans as Dentrix has the “key” to the interface. The “key” is called an HL7 interface and is a bi-directional interface with allows us to communicate with medical and dental software systems. Apparently, when the Dentrix software was acquired, the HL7 interface was not appropriated for either financially or physically (due to the nature of the original Dentrix software). In other words, the interface is ineffective unless we have a key to access the Dentrix interface system.
2. On February 27<sup>th</sup>, Dr. Shearer, Patti White, Amy Coapman, Eric Cutright, Susan Beatty and myself, held a teleconference on how to manage and approach the situation with Dentrix, it’s HL7 components and whether or not we could do Dentrix “stand alone”. We decided to approach Cimarron and request that they possibly do all of the facets needed so that we could continue without purchasing the HL7 from Henry Schein.
3. Jessica Courts, RDA, recently appealed to the council and TERO (as well as the dental clinic), for help in getting an advanced education in dental assisting called expanded functions. This education will take place over the next 9 months and will require Jessica to study and be skilled in the areas usually only reserved for dentists. The benefits of Jessica’s expanded functions skilled will be seen over many areas, including fulfilling a lifelong dream for her to help her tribe as well as enabling the clinic to treat many more patients in a timely and compassionate manner. To this date, Jessica has been accepted, has enrolled and has been taking courses. A letter of summarization is included for your review. I want to personally thank the council, TERO and everyone responsible for making this happen for her.
4. The Yreka Dental Clinic had an all office meeting last Thursday afternoon on the 28<sup>th</sup> We discussed insurance information relative to responsibility and accountability; CMSP/Path2Health patients and the fact that some of our providers have not been approved for providership status; chart notation in Dentrix; EHR (electronic health records) for the doctors so that we can communicate with medical regarding information to be transferred between clinics; specific job responsibilities; making notes in the journal; heart murmur pre-medication; health history ;RPMS, joint meeting for medical/dental; blood pressure issues; cell phone usage and appropriateness. Additionally, we discussed the referral system, monies expended and how it is impacting how we refer to our specialists. We also discussed how we might be able to bring a specialist to the Yreka clinic one day per month (or two).

## Dental Budget Report

1.	<b><u>I.H.S. Budget 3000-41- Yreka Dental - Appropriations – \$902,326.26 year to date Expenditures \$310,991.61– Outstanding Encumbrances- \$ 1431.25-Unencumbered Balance \$592,765.90 used 34.31 %</u></b>
2.	<b><u>I.H.S. Budget 3000-42–HC Dental– Appropriations - \$593,071.50 - year to date Expenditures \$185,172.32 Outstanding Encumbrances- \$3,970.14- used 31.89%</u></b>
3.	<b><u>HRSA Dental Supplies 3400-09-7500.03; .</u></b>
4.	<b><u>HRSA budget 3400-09-7502.00 – Dental Lab/Pedodontist Referrals – Appropriations \$80,000.00 year to date Expenditures \$ – Outstanding E. \$0.00– Unencumbered Balance - \$0.00..</u></b>
5.	<b><u>Dental Lab Indian 3900-00-7600.00 – Appropriations \$ 85,000.00 –year to date Expenditures \$30598.35– Outstanding E. \$39736.62 – Unencumbered Balance \$14665.03 -82.75% Used.</u></b>
6.	<b><u>Dental Lab Non Indian 3900-00-7601.00 – Appropriations \$10,000.00 – year to date Expenditures \$3047.06 – Outstanding encumbrances \$2686.44–Unencumbered Balance -\$4266.50– 57.34% used.</u></b>
7.	<b><u>Yreka Dental supplies 3900-00-76.06- Appropriations \$20,000.00 – year to date Expenditures \$50039.94 - Outstanding encumbrances \$19544.95–Unencumbered Balance <b>-\$49,584.89- &lt;347.92%&gt; used</b></u></b>
8.	<b><u>HC Dental Supplies 3900-00-7600.07 – Appropriations \$10,000.00 – year to date Expenditures \$4868.98 Outstanding Encumbrances \$0.00-Unencumbered Balance \$ <b>5131.02-48.69.80 % used</b></u></b>

**RPMS**  
**Karuk Tribal Health and Human Services Program**  
**Health Board Meeting**  
**March 14, 2013**  
**Patricia White, RPMS Site Manager**

**RPMS Requests**

- **January 2013**
  - 2 request for reports (patient data or numbers)
  - 1 new user set up
  - 6 request for user support
- **February 2013**
  - 3 requests for reports (patient data or numbers)
  - 4 requests for user support
  - 2 requests for Employment Verification from Bureau of Clinician Recruitment & Service
  - Data Request for Fiscal Auditors
  - Nurse and Provider reports for IHS

**Workload reports:**

Attached is an *Operational Summary* for January 2013 along with Tribal statistics for our Health program. I ran a new report that contained registration and visit counts for Indian Patients registered in the Karuk Tribal Service Unit. There is a chart at the end of the Operational Summary showing this data for January 2013.

In January we had 2,021 visits at all locations. 1,020 of those visits were for Native American Patients. See the graphs for other breakdown of visits by location, clinic type, and provider type.

**Other Activities January and February**

- January 8-10, 2013 Attended RPMS Site Manager Training in Sacramento.
- January 23, 2013-IHS/EHR Medical Documentation Conference Call/Webinar (1¼ hours)
- January 29, 2013-Executive Directors Advisory Meeting (3 hours)
- February 6, 2013-CRIHB Conference Call about Telehealth (1 hour)
- February 13, 2013-ACQI Committee Meeting (1 Hour)
- February 14, 2013-Health Board Meeting
- February 19, 2013-Meaningful Use Webinar on Registration and Attestation (1 hour)
- February 21, 2013-RPMS/EHR Office Hours Weekly Conference Call (1 hour)
- February 25, 2013-UDS Mapper Webinar "How to make a service area map" (1 hour)
- February 26, 2013-Executive Directors Advisory Meeting (2 hours)
- January 17, 2013-Began 2012 UDS Beta Test report

**Site Manager's Portal**

California Area Office of IHS has created a portal system that enables healthcare staff at remote locations to access IHS quickly for information and support. At this time there are portals for Site Managers and for GPRA. CAO/IHS has plans to create portals for other clinical and technical disciplines in the future.

I have access to the Site Managers portal and I have requested access to the GPRA portal. Within the Site Managers Portal there is access to:

- Member profiles
- Site Manager Tool Kit-Resources for RPMS, Security, Exports, EHR, Networking, and more.
- Smoke Signals-Where information can be shared with other members, ask questions, and in general a place to interact with other sites on numerous topics

- Submit Tickets-A place to request technical support for RPMS, EHR and any problem or issues we are experiencing with the program that we cannot resolve on our own. This has proven to be the greatest for getting things resolved or fixed. Usually we get answers or assistance within a couple of hours of submitting a ticket.
- Suggestion Box-A place to suggest anything to help improve the portal.

I have submitted at least 10 tickets to IHS since joining the portal. These include upgrading our packages to current version and patches, patient registration error, report functionality, and user issues to name a few.

### **RPMS Issues**

On February 27, 2013 the RPMS database froze and stopped functioning. I was unable to log into the database to find out what happened. This halted EHR and all programs linked with RPMS. With assistance from Eric, we discovered that our journal files had filled to capacity and this stopped the entire program. We contacted IHS in Sacramento and they assisted us in getting our system back up and running. We are working with IHS and OIT to make sure this problem does not happen again.

### **UDS Report**

The Uniform Data Systems Report contains the annual reporting requirements for recipients of grants funded by the Health Resources Services Administration (HRSA). A report is required each year by February 15th on the previous calendar year. This year we were a beta test site for Indian Health Services Reporting system for UDS version 7.0 for calendar year 2012. This involved in verifying that the software worked properly and was compatible with other RPMS packages. There was a 20 page checklist that involved running various reports and evaluating their validity. The test program was loaded on 01/17/2013 and I completed the testing and signed off on 01/28/2013. After a few days the final version was loaded in to our database with all the fixes and I compiled the data and submitted the report 2/15/2013 through the Electronic Handbook at HRSA.

The report is reviewed by them, returned to us, and revised a few times before the final is accepted by UDS. I am in my second revision process at this time and will resubmit by March 12th. Reasons that a report is returned for revision could be questions regarding staffing, a change in revenue from one year to the next, and change in patient/client numbers. Once the report is final, I will provide a copy to this board.

Our numbers will be compared to other HRSA grantees both at the state and national level. That information will be available in approximately 6 months.

### **Budget:** Period ending February 28, 2013:

Program	RPMS
Budget Code	3000-75
Program Year	2012-2013
Appropriation	\$235,220.84
Expenses to Date	64,008.90
Balance	\$208,572.56
Percent used	11.33%

Respectfully Submitted,

Patricia C White, RPMS Site Manager

**OPERATIONS SUMMARY FOR KARUK TRB HP Service Unit  
FOR JAN 2013  
Prepared for March 14, 2013 Health Board Meeting**

(Note: In parentheses following each statistic is the percent increase or decrease from the same time period in the previous year. '\*\*' indicates no data is present for one of the two time periods.)

**PATIENT REGISTRATION**

There are 17,378 (+4.6) living patients registered at this SU. This number does not represent the 'Active User Population' which is found elsewhere in PCC Reports. There were 78 (+13.0) new patients, 1 (-80.0) births, and 3 (+200.0) death(s) during this period. Data is based on the Patient Registration File.

Note: The Active Patient count (APC) at the end of January was 6,855. An active patient is a patient who has had at least one visit during the past three (3) years.

**THIRD PARTY ELIGIBILITY**

There were 2,638 (+0.3) patients enrolled in Medicare Part A and 2,523 (+0.3) patients enrolled in Part B at the end of this time period.

There were 73 (+9.0) patients enrolled in Medicare Part D.

There were also 5,785 (+0.7) patients enrolled in Medicaid and 4,284 (+1.7) patients with an active private insurance policy as of that date.

**CONTRACT HEALTH SERVICES**

Total CHS expenditures (obligations adjusted by payments) for this period were 76,020.49 (+35.9). The number and dollar amount of authorizations by type were:

57 - DENTAL	10	12523.95
64 - NON-HOSPITAL SERVICE	1204	63496.54

**DIRECT INPATIENT**

[ NO DIRECT INPATIENT DATA TO REPORT ]

**AMBULATORY CARE VISITS**

There were a total of 2,021 ambulatory visits (+4.1) during the period for all visit types except CHS.

They are broken down below by Type, Location, Service Category, Clinic, Provider Discipline and leading Diagnoses. These do not equate to 'official' APC Visits which are identified in other PCC Reports.

By Type:		
TRIBE-638 PROGRAM	2,021	(+4.1)

By Location:		
YREKA	1,043	(-14.6)
KARUK COMM HEALTH CLINIC	772	(+34.7)
ORLEANS	206	(+40.1)
By Service Category:		
AMBULATORY	1,989	(+4.0)
TELECOMMUNICATIONS	32	(+6.7)
By Clinic Type:		
PHYSICIAN	580	(-12.7)
DENTAL	546	(+35.8)
FAMILY NURSE PRACTITIONER	323	(+2.2)
PHYSICIAN ASSISTANT	167	(+16.0)
NURSE CLINIC	106	(+51.4)
MENTAL HEALTH	103	(+94.3)
ALCOHOL AND SUBSTANCE	98	(-39.5)
TRANSPORT	44	(**)
CHR	30	(+100.0)
CHART REV/REC MOD	6	(-82.9)
PHARMACY	5	(-92.4)
TELEPHONE CALL	5	(-61.5)
BEHAVIORAL HEALTH	4	(**)
PHN CLINIC VISIT	3	(+200.0)
TELEMEDICINE	1	(+0.0)
By Provider Type (Primary and Secondary Providers):		
HEALTH AIDE	638	(+34.9)
MD	582	(+3.9)
DENTIST	547	(+34.1)
NURSE PRACTITIONER	331	(+5.1)
PHYSICIAN ASSISTANT	267	(+62.8)
LICENSED PRACTICAL NURSE	243	(+0.8)
MEDICAL ASSISTANT	225	(+27.1)
LICENSED CLINICAL SOCIAL WORK	106	(+100.0)
COMMUNITY HEALTH REP	71	(+373.3)
DENTAL HYGIENIST	64	(-43.4)
HEALTH RECORDS	61	(+2,950.0)
ALCOHOLISM/SUB ABUSE COUNSELOR	53	(-67.3)
UNKNOWN	49	(-59.2)
CLINIC RN	10	(+400.0)
PUBLIC HEALTH NURSE	5	(-44.4)

The ten leading purposes of ambulatory visits by individual ICD Code are listed below. Both primary and secondary diagnoses are included in the counts.

By ICD Diagnosis		
1). DENTAL EXAMINATION	546	(+35.8)
2). VACCIN FOR INFLUENZA	169	(+196.5)
3). HYPERTENSION NOS	113	(-13.1)
4). ACUTE URI NOS	75	(+150.0)
5). DMII WO CMP NT ST UNCNTN	72	(+5.9)
6). ALCOHOL ABUSE-UNSPEC	66	(-41.1)
7). HYPERLIPIDEMIA NEC/NOS	60	(+25.0)
8). BRONCHITIS NOS	58	(+56.8)
9). TOBACCO USE DISORDER	57	(-10.9)
10). LUMBAGO	56	(-17.6)

**CHART REVIEWS**

There were 1,370 (+21.0) chart reviews performed during this time period.

**INJURIES**

There were 97 visits for injuries (+106.4) reported during this period. Of these, 31 were new injuries (+933.3). The five leading causes were:

- 1). ACC-CUTTING INSTRUM NEC 4 (+300.0)
- 2). ACC-POWER HAND TOOL NEC 2 (\*\*)
- 3). FALL NOS 2 (-50.0)
- 4). STAT OB W/O SUB FALL NEC 2 (\*\*)
- 5). MV COLLISION NOS-PEDEST 1 (\*\*)

**EMERGENCY ROOM**

[NO EMERGENCY ROOM VISITS TO REPORT]

**DENTAL**

There were 411 patients (+17.4) seen for Dental Care. They accounted for 546 visits (+35.8). The seven leading service categories were:

- 1). PATIENT REVISIT 383 (+74.9)
- 2). HYPERTENSION SCREENING 199 (+36.3)
- 3). FIRST VISIT OF FISCAL YEAR 165 (-10.8)
- 4). LOCAL ANESTHESIA IN CONJUNCTION WIT 154 (+136.9)
- 5). INTRAORAL PERIAPICAL, SINGLE FILM 146 (+15.9)
- 6). PREVENTIVE PLAN AND INSTRUCTION 86 (-21.1)
- 7). INTRAORAL PERIAPICAL, ADDITIONAL FI 77 (+30.5)

**IN-HOSPITAL VISITS**

[NO IN-HOSPITAL VISITS TO REPORT]

**PHARMACY**

There were 2,228 new prescriptions (+47.4) and 0 refills (\*\*) during this period.

**KTHHSP Tribal statistics for January 2013**

	Registered Indian patients	Indian Patients Receiving Services Jan. 2013	APC Visits by Indian Patients Jan 2013
Karuk	2037	467	569
Descendants residing in CA	1851	250	290
All other tribes	2098	122	161
total	5986	839	1020

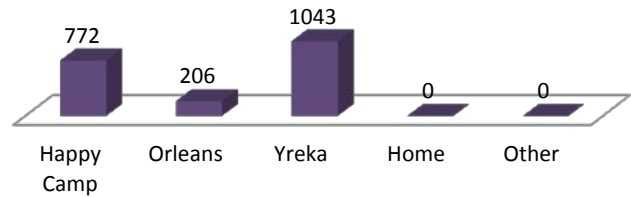


## Operations Summary - January 2013

### Visits by location January 2013

Happy Camp	772	
Orleans	206	
Yreka	1043	
Home	0	
Other	0	
Total	2021	

### Visits by Location January 2013

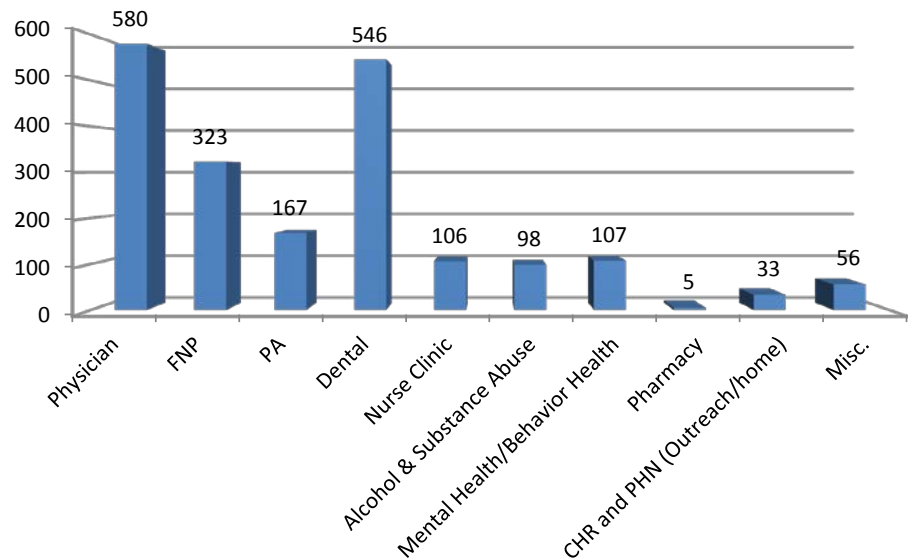


### Visits by Clinic Type January 2013

Physician	580
FNP	323
PA	167
Dental	546
Nurse Clinic	106
Alcohol & Substance Abuse	98
Mental Health/Behavior Health	107
Pharmacy	5
CHR and PHN (Outreach/home)	33
Misc.	56
Total	2021

\*Misc includes Transport, Telemedicine  
Chart Reviews, Telephone Calls

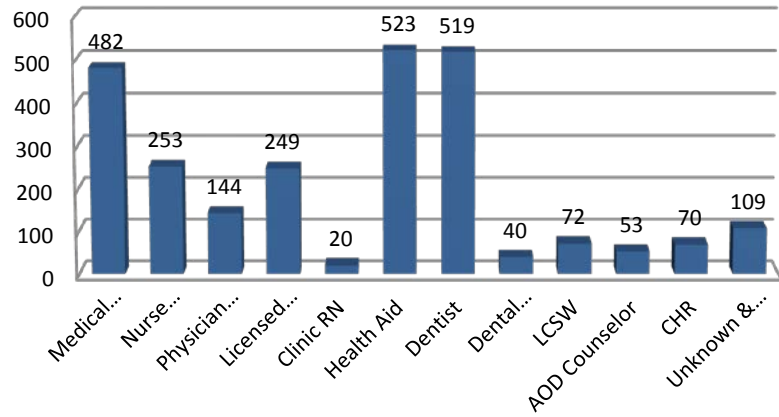
### Visits by Clinic Type January 2013



**Visits by Provider Type November 2012**

Medical Doctor	482
Nurse Practitioner	253
Physician Assistant	144
Licensed Practical Nurse	249
Clinic RN	20
Health Aid	523
Dentist	519
Dental Hygienist	40
LCSW	72
AOD Counselor	53
CHR	70
Unknown & other	109
Total	2534

**Visits by Provider Type (Primary & Secondary Providers)  
November 2012**





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**Karuk Tribe**

**Karuk Tribal Health and Human Services**

**Community Health Outreach**

**Health Board Report: March 14, 2013**

**Annie Smith PHN**

**Action Items:**

None this month.

**February Activities:**

- Our Elder Program is consistently improving. The understandings by our Team as to the needed medical, psychological and social areas that must be covered are being applied. I would like to commend both the CHR's from Happy Camp for their tireless assistance they have given to Elders in their area. Both Flo and Michelle have gone beyond the call of duty in recent months.
- We had many request for car seats this month and Clarence fitted the new ones to the children. Just for general information, any child seat that was involved in any car accident, no matter how small or insignificant needs to be replaced as soon as possible. The car seat can be damaged in its structure and not show.
- Attended a disaster planning meeting. Tom Fielden organized all of us into our various fields. It is becoming clearer to me the Emergency Support Functions.
- I went to an Emergency Operations planning meeting in Redding that was hosted by HANC. The organization holding the in-service was California Primary Care Association (CPCA). Both will be assisting me with the Emergency Operations Plans (EOP) for the three clinics. These in turn will be interoperational with the

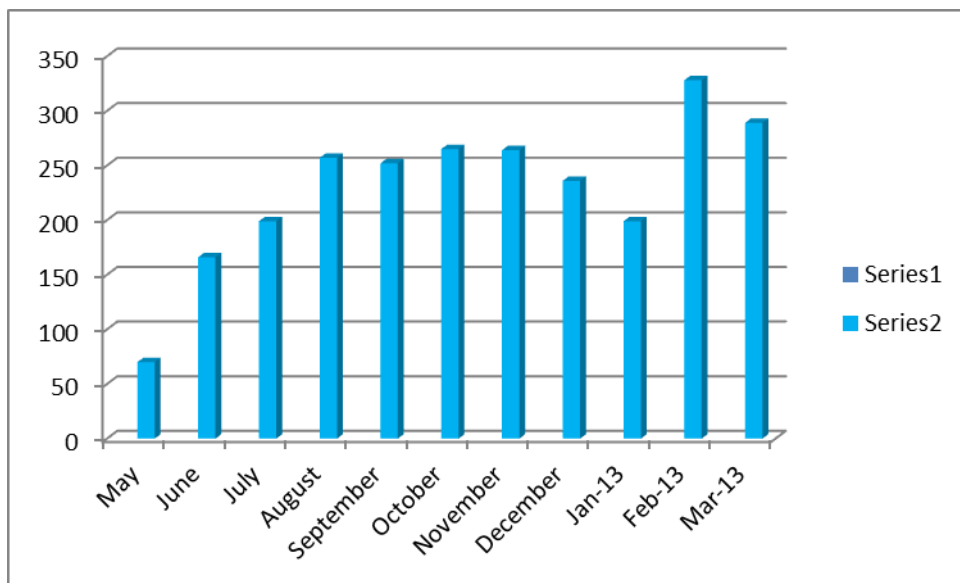
master Emergency/Disaster Plan for the entire Tribe. I have conversed with Tom Fielden and kept him apprised of the preparations. The focus of the plans is Continuity of operations for each clinic during any disaster. It is proposed by the CPCA that at the end of the three meetings we will of developed the continuity operations plans and then they can be presented to Tom Fielden after Lessie's approval.

- The Pregnancy Prevention Program is moving forward and we have excellent, bright, and engaged youth in this program. I am so very proud of them. I look forward to these next months with them.
- We had an excellent Diabetes luncheon this week in Yreka. Sharon West cooked and there was standing room only. Many of our Diabetics are stabilizing their health issues.

**Trainings:**

- SDPI Can-do call 2/13/2013 on-line
- COOP in Redding 2/15/2013
- Eyepacs online class with U.C. Berkeley 2/25/2013

**CHR Workload report:**



**Financial Report:**

	<i>Unencumbered Balance</i>	<i>Percent Used</i>
IHS Diabetes Grant 2012:	Unavailable from IHS	Held up in Washington
Public Health Nurse:	\$ 59,109.07	29.65%
CHR:	\$ 171,830.47	30.50%

**KARUK TRIBE**  
**Karuk Tribal TANF Program**  
**February 2013 Monthly Report**

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**Program Report Summary**  
**March 7, 2013**

**Sites:**

**Active Clients (Program Totals) Report:**

(See attachment (A)) – KTCP-Active Cases as of 3/6/2013)

**Work Participation Rate Report (WPR):**

(See attachment (B)) – KTCP – WPR – Monthly Summary for 01/2013)

(See attachment (B)) – KTCP – WPR – Happy Camp - Monthly Summary for 01/2013)

(See attachment (B)) – KTCP – WPR – Orleans - Monthly Summary for 01/2013)

(See attachment (B)) – KTCP – WPR – Yreka - Monthly Summary for 01/2013)

Work Participation Rate for January 2013 was 41.18%.

**Staffing:**

Currently reviewing staffing and case load.

**Staff Training:**

**Training for Staff-**

Happy Camp and Orleans Site - Individual Re-enforcement Training was provided - on March 5, 2013. Training that was provided: Assessments, income, TAS, child support, caseload tracking and management, time-on-aid, and other miscellaneous training.

The TANF Administrative Assistant will be attending Excel Training on March 7-8, 2013 in Eureka, CA (See Attachment (C)) to upgrade her skills.

I am sending the following individuals, Renee, Tonya, Michelle, Irene, REL and Kristen to the Falmouth Institute Secretary Administrative Professionals Conference, on April 23-25, 2013.

**Objective** – In order to remove or minimize mistrust, anger, intimidation, etc., from clients and in the workplace I am providing this training for new staff and for refresher for others. The areas of training included in attachment (D).

**KARUK TRIBE**  
**Karuk Tribal TANF Program**  
**February 2013 Monthly Report**

---

I believe and with the current attitude of the above employees, this will enhance their professionalism, as well as, strengthen themselves as tribal members working for their tribe.

During this time all offices will be open for business, I will be assisting Yreka, in that I will be meeting with "NEW" clients there also.

**TANF Office Space –**

Requesting additional office space for the Orleans TANF office to address confidentiality and seeing client issues. Currently, both TANF staff employees occupy the same office. The two employees cannot see clients at the same time for different reasons, i.e., TANF business and substance abuse issues.

Since the ground breaking of the new clinic, TANF would like to request an additional office to remove the above issues.

**Appeals, Complaints, and Grievances – None at this time.**

**Case Management –**

A 100% audit - (in progress).

All cases will be audited to ensure the case file is complete.

**February TANF Event**

**Karuk Cultural Meeting – Phil Albers - (Yreka – 1<sup>st</sup> & 3<sup>rd</sup> Wednesday of the month)**

A Cultural meeting was held on January 23, 2013, Cultural Class Summary (See Attachment (H))

A Cultural meeting was held on February 6, 2013, Cultural Class Summary (See Attachment (I))

**February Local Meeting**

**Yreka Family Resource Center** - Met with the Executive Director to see how our two programs and worked together to maximize services to our clients. After discussions the center provided a list programs that they provided last year. I will continue to work with this center. (See attachment (E))

**KARUK TRIBE**  
**Karuk Tribal TANF Program**  
**February 2013 Monthly Report**

---

**2013 Fatherhood Conference** - At the request of the Tribal Head Start Program, I have been collaborating with HUB Communities Family Resource Center in Montague, in participating in their 2013 Fatherhood Conference. This conference will be held on April 20<sup>th</sup>, 2013. We will be participating in a Karuk Storytelling and the beginning of the conference. (See attachment (F))

**February Travel**

I attended the California Tribal TANF Coalition (CTTC) and the State. I am providing the following summary.

CTTC and State meeting:

1. Discussion on the States new letter of Intention (LOI) process and timelines for submission. Very little discussion, the letter seemed straight forward.
2. Discussion on the new form for calculating the 50%+ unemployment rate certification. The only question that I have and haven't received a straight answer is that I believe that the Karuk Tribe could submit this certification sheet for Siskiyou County, including Happy Camp, Orleans and Yreka. By using the BIA designation of the Karuk "Indian Country". The state did say they go by the BIA approve tribal services areas. If so, we could calculate our own numbers to the Karuk Tribe's unemployment rate.

Yreka and Orleans Site Manager attending the Fatherhood Training on Thursday, February 14, 2013 in Sacramento, CA. Lisa and Clarence received valuable information and insight on implanting our Fatherhood program. Our program will start in May or June of 2013.

**Substance Abuse**

March 2013 schedule is available. (See attachment (G))

Submitted By:

Lester Lee Alford, Jr.  
TANF Executive Director



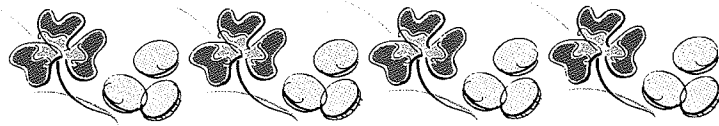
# DEPARTMENT OF QUALITY MANAGEMENT

Karuk Tribal Health Board Meeting

March 14, 2013

Rondi Johnson

February Report



# HAPPY ST. PATRICK'S DAY

**ACTION ITEMS:** I will be on travel for training, sorry I won't be here.

- Out of State Travel Request
- Phone Request

## **FEBRUARY ACTIVITIES:**

1. First day on the job February 4th orientation.
2. February 7th, traveled to Orleans Clinic for an employee issue. February 18th, visited Yreka Clinic. February 13th, ACQI Meeting. February 14th, Healthboard Meeting. February 21st, Front Ofc/Billing Meeting. February 26th, ED Meeting. February 27th, HIM and ACQI Meeting. February 28th, visited Orleans Clinic.

## **FEBRUARY TRAININGS/CONFERENCES & WEBINARS:**

Medi-Cal – February 12th

New Employee Orientation Webinar – February 19<sup>th</sup>

## **ACQI COMMITTEE MEETING:**

The ACQI meeting agenda, performance improvement projects, and reports for February are attached. The Meeting Minutes for December, January and February are not available.

## **BUDGETS:**

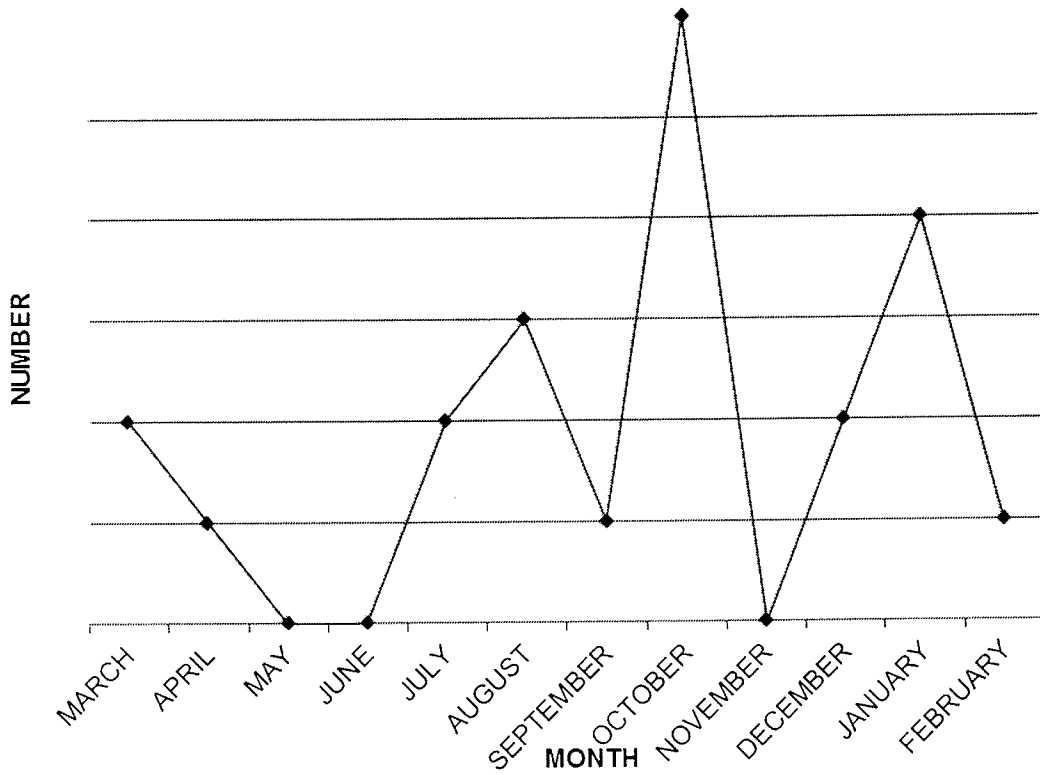
See below. Budget through 2/28/13.

<b>Program</b>	<b>CQI</b>
<b>Budget Code</b>	300002
<b>Program Year</b>	2012-2013
<b>Expenses to Date</b>	\$32,921.07
<b>Balance</b>	\$180,494.22
<b>Percent Used</b>	15.52

## COMPLAINTS:

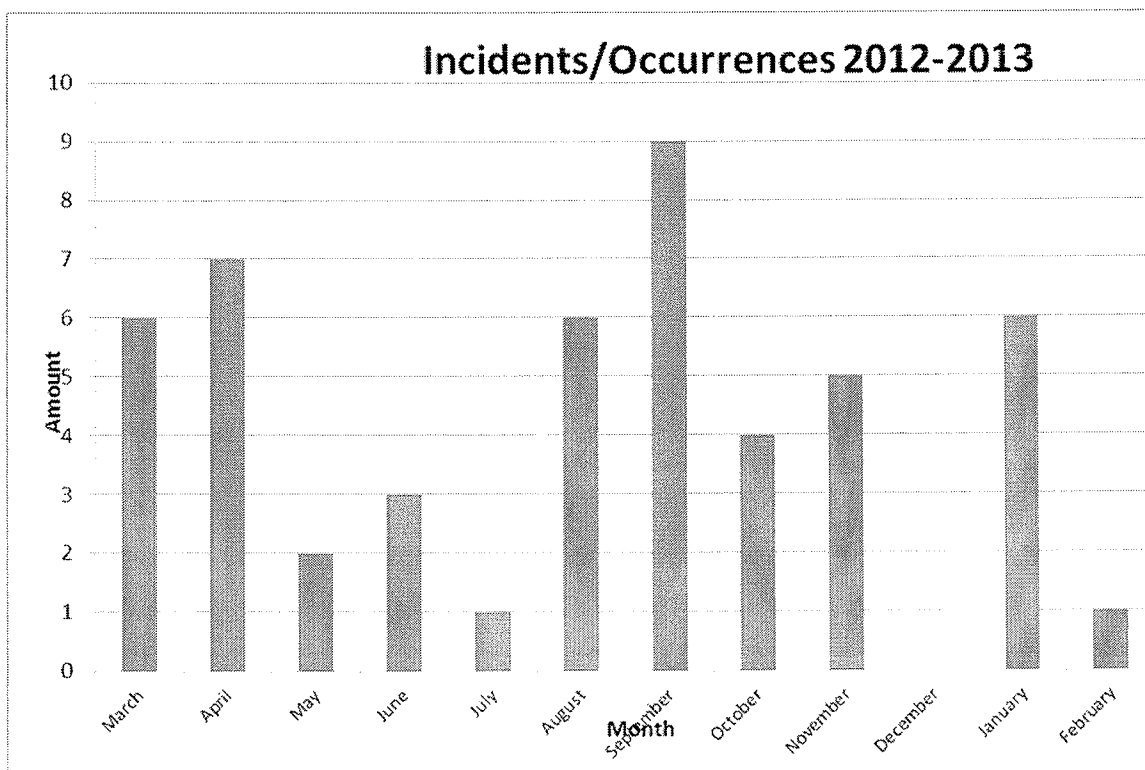
Complaints 2012-13	Provider	Employee	Services
March	1	1	0
April	1	0	0
May	0	0	0
June	0	0	0
July	2	0	0
August	1	1 1/2	1/2
September	1	0	0
October	1	1	4
November	0	0	0
December	1	1	0
January	0	4	0
February	0	1	0

COMPLAINTS 2012-2013



## INCIDENTS/OCCURRENCES:

2012-2013	Miscellaneous
March	6
April	7
May	2
June	3
July	1
August	6
September	9
October	4
November	5
December	0
January	6
February	1



Respectfully Submitted,

Rondi Johnson  
Deputy Director of Health & Human Services

KARUK TRIBE

Travel Advance/Reimbursement Request

Employees Name: Rondi L. Johnson Destination: National Harbor, MD  
 Departure Date: 4/19/13 Time: \_\_\_\_\_ Return Date: 4/25/13 Time: \_\_\_\_\_  
 Program Charged: CPI Account: 3000-02-7300.00  
 Description & Purpose of Travel: HCCA'S 17<sup>th</sup> ANNUAL COMPLIANCE CONF

\*\* CHECK ITEMS NEEDED \*\*

PERDIEM:

X

ADVANCE	RECEIPTS	DUE TO FROM
\$ -		\$ -

No. of Quarters \_\_\_\_\_ Rate 271.00

LODGING:

X

-		\$ -
---	--	------

No. of Nights 6 Rate 271.00

Check this box if you DO NOT have a Tribal Credit Card or Personal Credit/Debit Card. (Needed to determine lodging deposit)

MILEAGE:

X

-	\$ -	\$ -
---	------	------

No. of Miles \_\_\_\_\_

FROM: \_\_\_\_\_ TO: \_\_\_\_\_

OTHER:

- Registration:
- Airfare: (If yes, which airport?)
- Shuttle/Taxi/Tolls:
- Gasoline:
- Parking:
- Other

<u>1249.00</u>		
		\$ -
	\$ -	\$ -

TOTAL:

\$ -		\$ -
------	--	------

I certify that the estimated costs are reasonable and needed to conduct program activities. In the event I fail to complete this travel or if I terminate employment, I authorize the Karuk Tribe to deduct actual costs of this travel from any monies due me at termination of employment. I also certify that any travel for which I have requested an advance/reimbursement was completed as outlined above. I authorize the Karuk Tribe to deduct from my payroll check any part of this advance not substantiated by original receipts within 10 days of my return from this trip.

Traveler: Rondi L. Johnson Date: 2/21/13

\*\*\* TRAVEL WILL NOT BE PROCESSED WITHOUT THIS SECTION COMPLETED \*\*\*

Is this travel reimbursable by another agency? Yes  No

If yes, which agency? \_\_\_\_\_

Contract modification required? Yes  No

\*\*\* MANDATORY AUTHORIZATIONS \*\*\*

Supervisor Approval: Ressie Aubry Date: 2-21-13  
 Program Director (if different): \_\_\_\_\_ Date: \_\_\_\_\_  
 Tribal Chairman Approval: \_\_\_\_\_ Date: \_\_\_\_\_



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# FINAL AGENDA

HCCA's 17th Annual

# COMPLIANCE INSTITUTE®

April 21-24, 2013 ★ Gaylord National  
National Harbor, MD (DC Metro Area)

Learn more & register at  
[www.compliance-institute.org](http://www.compliance-institute.org)



## Group Discounts

5 or more: \$100 discount for each registrant

10 or more: \$150 discount for each registrant

Discounts take effect the day a group reaches the discount number of registrants. Please send registration forms together to ensure the discount is applied. A separate registration form is required for each registrant. Note that discounts will NOT be applied retroactively if more registrants are added at a later date, but new registrants will receive the group discount.

**Continuing Education Units** HCCA is in the process of applying for continuing education units, and these credit totals are subject to change. If you have questions, please call 888-580-8373 or email [ccb@compliancecertification.org](mailto:ccb@compliancecertification.org). Visit the Compliance Institute website, [www.compliance-institute.org](http://www.compliance-institute.org), for the latest updates.

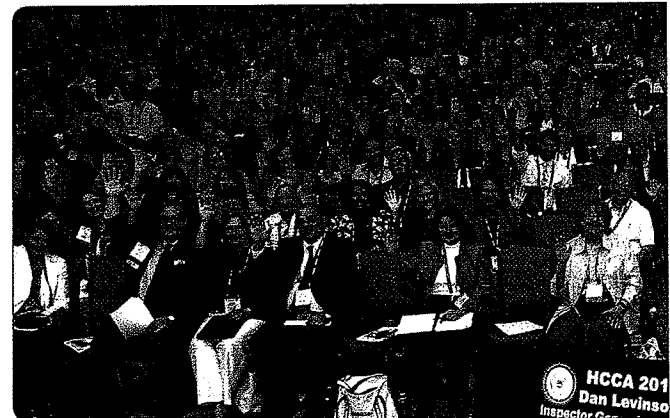
**Prerequisites/Advanced Preparation** None.

**Meals** Continental breakfast and lunch are provided on Monday and Tuesday. Coffee will be served on Sunday and Wednesday.

**Special Needs/Concerns** Prior to your arrival, please call HCCA at 888-580-8373 if you have a special need and require accommodation to participate in the Compliance Institute.

**Dress Code** Business casual dress is appropriate for conference attendees.

**Agreements & Acknowledgements** I agree and acknowledge that I am undertaking participation in HCCA events and activities as my own free and intentional act, and I am fully aware that possible physical injury might occur to me as a result of my participation in these events. I give this acknowledgement freely and knowingly and assert that I am, as a result, able to participate in HCCA events, and I do hereby assume responsibility for my own well-being. I agree and acknowledge that HCCA plans to take photographs and video at the HCCA Compliance Institute and reproduce them in HCCA educational news, or promotional material, whether in print, electronic, or other media, including the HCCA website. By participating in the HCCA Compliance Institute, I grant HCCA the right to use my name, photograph, video footage, and biography for such purposes.



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201 Waterfront Street  
National Harbor, MD 20745

The group rate is \$271.00 per night single/double occupancy plus tax(es). Upgraded rooms are available for additional fees. To make guest room reservations call 1-301-965-4000 and reference HCCA—Healthcare Compliance Institute to reserve your room or visit the Compliance Institute website at [www.compliance-institute.org](http://www.compliance-institute.org) and click on the Location tab to book online. This rate is good through March 29, 2013, or until the group block is sold out, whichever comes first. The hotel requires a first night's deposit when reserving your room. Included in your guest room rate is high-speed Internet access in each guest room, wireless Internet access in the atriums, local phone calls up to 20 minutes (\$.10/minute thereafter), toll-free and credit card calls up to 20 minutes (\$.10/minute thereafter), bottled water (two per room, per day), a daily newspaper, and access to the resort's state-of-the-art Fitness Center.

## Details

**Registration Payment Terms** Checks are payable to HCCA. Credit cards accepted: American Express, MasterCard, or Visa. HCCA will charge your credit card the correct amount should your total be miscalculated.

**Tax Deductibility** All expenses incurred to maintain or improve skills in your profession may be tax deductible; including tuition, travel, lodging and meals. Please consult your tax advisor.

**Cancellations/Substitutions** You may send a substitute in your place or request a conference credit. Conference credits are issued in the full amount of the registration fees paid and are good for 12 months from the date of the cancelled event. Conference credits may be used towards any HCCA service. If you need to cancel your participation, notify us prior to the start date of the event by email at [helpteam@hcca-info.org](mailto:helpteam@hcca-info.org) or by fax at 952-988-0146. Please note that if you are sending a substitute, an additional fee may apply.

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[www.compliance-institute.org](http://www.compliance-institute.org)

# REGISTRATION

17<sup>th</sup> Annual Compliance Institute® ★ April 21-24, 2013 ★ National Harbor, MD (DC Metro Area) ★ Gaylord National

**2 Please type or print your contact information**

○ Mr. ○ Mrs. ● Ms. ○ Dr.

Member ID  
**Rondi L. Johnson**  
 First MI Last

Credentials  
**CCP, BSAA**  
**Deputy Director of Health & Human Services**  
 Title  
**Karuk Tribe of California**  
 Place of Employment  
**PO Box 316**  
 Mailing Address  
**Happy Camp Ca 96039**  
 City State Zip  
**530 493 1600 x 215**  
 Phone Fax  
**rjohnson@karuk.us**  
 Email (required for confirmation notification)

Your Source Code is C113HP2



029672 \*\*\*\*\*AUTO\*\*3-DIGIT 960  
 PATRICIA WHITE  
 QUALITY AND HRSA COORDINATOR  
 KARUK TRIBAL HEALTH  
 64236 SECOND AVENUE  
 PO BOX 1016  
 HAPPY CAMP CA 96039-1016

**3 Select your sessions**

Please select ONE session per time slot. Advanced Discussion Groups marked with \* are limited to 50 participants. You must pre-register to reserve admission to these sessions.

SUN, APRIL 21	MON, APRIL 22	TUES, APRIL 23
<b>PRE-CONFERENCE</b> 7:30-8:30 AM ○SpeedNetworking	<b>BREAKOUTS</b> 11 AM-12 PM ●101 ○102 ○103 ○104 ○105 ○106 ○107 ○108 ○109 ○111 ○112 ○AD1* ○AD2* <b>BREAKOUTS</b> 1:30-2:30 PM ○201 ○202 ○203 ○204 ○205 ●206 ○207 ○208 ○209 ○210 ○211 ○AD3* ○AD4* ○AD5*	<b>BREAKOUTS</b> 11:00 AM-12:00 PM ○501 ●502 ○503 ○504 ○505 ○506 ○507 ○508 ○509 ○510 ○511 ○AD2b* ○AD3b* ○AD4b*
<b>BREAKOUTS</b> 9 AM-12 PM ○PREAM1 ●PREAM2 ○PREAM3 ○PREAM4 ○PREAM5 ○PREAM6 ○PREAM7 ○PREAM8 ○PREAM9 ○PREAM10 ○PREAM11 ○PREAM12 ○PREAM13 <b>BREAKOUTS</b> 1:30-4:30 PM ○PREPM14 ○PREPM15 ○PREPM16 ●PREPM17 ○PREPM18 ○PREPM19 ○PREPM20 ○PREPM21 ○PREPM22 ○PREPM23 ○PREPM24 ○PREPM25 ○PREPM26 3:30-4:30 PM ○SpeedMentoring	<b>BREAKOUTS</b> 3-4 PM ○301 ○302 ○303 ○304 ○305 ○306 ○307 ○308 ○309 ●310 ○311 ○312 ○AD6* ○AD7* ○AD8* <b>BREAKOUTS</b> 4:30-5:30 PM ○401 ○402 ○403 ○404 ○405 ○406 ○407 ○408 ○409 ○410 ○411 ○AD9* ○AD10* ○AD1b*	<b>BREAKOUTS</b> 1:30-2:30 PM ○601 ○602 ○603 ●604 ○605 ○606 ○607 ○609 ○610 ○611 ○AD5b* ○AD6b* ○AD7b* ○AD10b*
	<b>WED, APRIL 24</b> <b>POST-CONFERENCE</b> <b>BREAKOUTS</b> 8-9:45 AM ○POSTAM1 ○POSTAM2 ○POSTAM3 ○POSTAM4 ○POSTAM5 ○POSTAM6 ○POSTAM7 ●POSTAM8 ○POSTAM9 <b>BREAKOUTS</b> 10-11:45 AM ○POSTAM10 ○POSTAM11 ○POSTAM12 ○POSTAM13 ○POSTAM14 ○POSTAM15 ○POSTAM16 ○POSTAM17 ○POSTAM18	

**4 Choose your options**

PRICES LISTED REFLECT SAVINGS

	on/before 3/31/13	on/after 4/1/13
<input type="checkbox"/> HCCA Members	\$1,049	\$1,099
<input type="checkbox"/> Membership Renewal & Registration	\$1,344	\$1,394
<input type="checkbox"/> Non-Members	\$1,199	\$1,249
<input checked="" type="checkbox"/> New Membership & Registration <small>New members only (dues regularly \$295 annually)</small>	\$1,249	\$1,299
<input type="checkbox"/> Pre-Conference Registration Morning	\$175	\$175
<input type="checkbox"/> Pre-Conference Registration Afternoon	\$175	\$175
<input type="checkbox"/> Post-Conference Registration	\$175	\$175
<input type="checkbox"/> Discount for 5 or more from the same company	(\$100)	(\$100)

**Compliance Institute Session Recordings**

	PURCHASE on/before 4/24/13	after 4/24/13
<input type="checkbox"/> Non-attendees: Online learning	\$699	\$799
<input type="checkbox"/> Non-attendees: DVDs	\$799	\$899
<input type="checkbox"/> Registered Attendees: Online learning	\$299	\$399
<input type="checkbox"/> Registered Attendees: DVDs	\$399	\$499

**TOTAL** 1249.00

**5 Choose your payment method**

- Check enclosed (payable to HCCA)
- Invoice me      Purchase Order \_\_\_\_\_
- I authorize HCCA to charge my credit card (choose card below):  
 CREDIT CARD:  American Express  MasterCard  Visa

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Credit Card Expiration Date \_\_\_\_\_

Cardholder's Name \_\_\_\_\_

Cardholder's Signature \_\_\_\_\_

CI0413

**How to Register**

**MAIL** Include registration form with check payable to:  
 HCCA, 6500 Barrie Road, Suite 250, Minneapolis, MN 55435

**ONLINE** Visit [www.compliance-institute.org](http://www.compliance-institute.org)

**FAX** Include billing information and fax to 952-988-0146

**QUESTIONS?** Call 888-580-8373 or email [helpteam@hcca-info.org](mailto:helpteam@hcca-info.org)

Register online at  
[www.compliance-institute.org](http://www.compliance-institute.org)

# Program at a Glance

Saturday, April 20



7:30 AM–12:00 PM	7 <sup>th</sup> Annual Volunteer Project
11:00 AM–8:00 PM	Conference Registration

Sunday, April 21

7:30 AM–6:00 PM	Conference Registration		
7:30–8:30 AM	SpeedNetworking		
9:00 AM – 12:00 PM PRE-CONFERENCE MORNING BREAKOUT SESSIONS <i>(includes 15-minute break)</i>	<p><b>PREAM1 Large Hospital Systems</b> – Suzie Draper, Intermountain Healthcare, Vice President, Business Ethics and Compliance; Michael Hemsley, Executive Vice President &amp; General Counsel, Catholic Health East; John Steiner, Chief Compliance Officer, Cancer Treatment Centers of America; Cheryl Wagonhurst, Owner, Law Office of Cheryl Wagonhurst</p> <p><b>PREAM2 Compliance 101 Center</b> <b>CHC CHPC CHRC</b> – Sheryl Vacca, SVP/Chief Comp &amp; Audit Officer, University of CA; Debbie Troklus, Managing Director, Aegis Compliance &amp; Ethics</p> <p><b>PREAM3 Compliance Programs: The Next Generation</b> – Catherine Boerner, President, Boerner Consulting LLC; Beth DeLair, DeLair Consulting, S.C.; Ken Burgess, Attorney, Poyner Spruiell LLP; Janine Valdez, Senior Director of Compliance, Sun Healthcare Group, Inc</p> <p><b>PREAM4 HIPAA Privacy &amp; Security 101</b> – Erika Bol, Privacy Officer, Colorado Dept of Health Care Policy &amp; Financing <b>CHC CHPC</b></p> <p><b>PREAM5 Can Compliance Teach Physicians to Comply? Adapting Different Healthcare Models to Teach Physicians Compliance</b> – D. Scott Jones, Senior Vice President, Claims, Risk Management and, HPIX; Richard Moses, Physician/Attorney <b>CHC</b></p> <p><b>PREAM6 False Claims Act Development</b> – John Boese, Of Counsel, Fried Frank Harris Shriver &amp; Jacobson LLP; Gary Eiland, Partner, King &amp; Spalding LLP; Michael Granston, Deputy Director, US Dept of Justice; Janet Goldstein, Principal, Vogel, Slade &amp; Goldstein, LLP</p> <p><b>PREAM7 Implementing a Research Auditing Program</b> – F. Lisa Murtha, Partner, Sonnenschein Nath &amp; Rosenthal LLP; Kelly Willenberg, President, Kelly Willenberg, LLC <b>CHRC</b></p> <p><b>PREAM8 Effective Training: Teach Compliance to Clinicians</b> – Adam Falcone, Partner, Feldesman Tucker Leifer Fidell LLP; Jane Hyatt Thorpe, Associate Professor, George Washington University</p> <p><b>PREAM9 Hot Topics in Medicare, RAC, ZPIC &amp; MIC Appeals</b> – Rachel Hold-Weiss, Partner, Arent Fox LLP; Connie Raffa, Partner, Arent Fox LLP</p> <p><b>PREAM10 Affordable Care Act</b> – Greg Cohen, CEO, Physician Compliance Network, LLC; Margaret Hambleton, Sr VP, Chief Compliance Officer, St Joseph Health System</p> <p><b>PREAM11 Labs</b> – Diana Voorhees, Principal/CEO, DV &amp; Associates Inc; Christopher Young, Laboratory Management Support Services (LMSS)</p> <p><b>PREAM12 How Is Your Fraud, Waste, and Abuse Program Incorporated into Your Compliance Plan for Your Health Plan?</b> – David Crawford, Compliance Director, Affinity Health Plan; Caron Cullen, VP, Comp &amp; Regulatory Affairs, Affinity Health Plan</p> <p><b>PREAM13 Compliance Implications of Health Care Reform</b> – Shawn DeGroot, Associate Director, Navigant; Frank Sheeder, Partner, DLA Piper</p>		
	12:00–1:30 PM	Lunch (on your own)	
	1:30 PM – 4:30 PM PRE-CONFERENCE AFTERNOON BREAKOUT SESSIONS <i>(includes 15-minute break)</i>	<p><b>PREPM14 Behavioral Health Compliance and Quality: Opportunities and Challenges for Integration and Implementation</b> – Marcella Henry, Compliance Officer, Sunrise Community Inc; Robyn Joppy, VP &amp; Corp Compliance Officer, Keystone Human Services; Lori McLaughlin, Sr VP for Risk Mgmt &amp; General Counsel, The Devereux Foundation; Cheryl Wagonhurst, Owner, Law Office of Cheryl Wagonhurst</p> <p><b>PREPM15 Preparing for ICD-10 While Living in ICD-9</b> – Betty Bibbins, President &amp; Chief Med Officer, DocuComp LLC; Nicole Harper, Dir.Training &amp; Dev/Process Improvement-Revenue Cycle Operations, St. Vincents Health</p> <p><b>PREPM16 ZPICs, RAC, HIPAA Audits in LTC: Are You Ready?</b> – Mark Reagan, Managing Partner, Hooper, Lundy &amp; Bookman, P.C.</p> <p><b>PREPM17 HHS/OCR Reports on the New HIPAA Rules</b> – Christina Heide, Senior Health Information Privacy Policy Specialist, U.S. Department of Health and Human Services, Office for Civil Rights; David Holtzman, Senior Health IT and Privacy Specialist, HHS Office for Civil Rights; Frank Ruelas, Principal, HIPAA College; Michael Schoppmann, Managing Partner, Kern Augustine Conroy &amp; Schoppmann, PC <b>CHPC</b></p> <p><b>PREPM18 How to De-Stress the Politics of Physician Coding</b> – Regina Gurvich, Chief Compliance Officer, Manhattan's Physician Group; Howard Tepper, CEO, Manhattan Physician Group</p> <p><b>PREPM19 Handling a Health Care Fraud Investigation</b> – Kirk Ogrosky, Partner, Arnold &amp; Porter LLP; Howard Young, Partner, Morgan Lewis; Meredith Auten, Morgan, Lewis &amp; Bockius LLP <b>CHC CHPC CHRC</b></p> <p><b>PREPM20 Health Facility Drug Diversion: Essential Auditing and Compliance Measures</b> – Kelly Loya, Director, Enterprise Risk, Sinaiko Healthcare Consulting, Inc., Altegra Healthcare Company; Kimberly New, Compliance Specialist, University Health System Inc</p> <p><b>PREPM21 Bipartisan Compliance Programs Know No Term Limits</b> – Fahad Ahmed, Compliance &amp; Privacy Officer, Yale New Haven Health System; Joel Dziengielewski, Director, Disputes &amp; Investigations, Navigant; Robert Hussar, Counsel, Manatt, Phelps &amp; Phillips, LLP</p> <p><b>PREPM22 Delving into the Comprehensive Care Enterprise Delivery Model: Uncovering and Resolving Ever-Increasing Compliance Challenges</b> – Mary Longe, Director, AHA Solutions, Inc, an American Hospital Association company; Monique Showalter, Director, AHA Solutions, Inc, an American Hospital Association company</p> <p><b>PREPM23 The Drive To Quality: Are You On The Bus or Under It?</b> – Audrey Andrews, Chief Compliance Officer, Tenet Healthcare Corporation; Alice Bonner (invited), Director, Division of Nursing Homes, Centers for Medicare and Medicaid Services; David Hoffman, President, David Hoffman &amp; Associates</p> <p><b>PREPM24 Compliance Essentials for DME</b> – George Breen, Shareholder, Epstein Becker &amp; Green PC; Julene Brown, Director of Compliance Operations/Assistant Compliance Officer, Essentia Health, Organizational Integrity and Compliance; Julie Schwab, Corporate Compliance Officer, Sanford Bismarck</p> <p><b>PREPM25 And the Question Is: What Are the Key AMC Compliance Focus Areas in the Current Regulatory Environment</b> – Sue Clausen, Chief Compliance &amp; Privacy Officer/AVP for Medical Affairs, UW Medicine/University of WA; Lynda Hilliard, Deputy Compliance Officer, University of California; Luanna Putney, Director of Research Compliance, University of California; Kristin West, Assoc VP &amp; Director, Emory University, Office of Research Compliance</p> <p><b>PREPM26 Research Compliance: Hot Topics and Practical Tips from the Trenches</b> – Ryan Meade, Partner, Meade &amp; Roach, LLP; F. Lisa Murtha, Partner, Sonnenschein Nath &amp; Rosenthal LLP; Juliann Tenney, Inst Research &amp; Comp Officer, UNC-Chapel Hill <b>CHRC</b></p>	
		3:30–4:30 PM	SpeedMentoring
		4:30–6:00 PM	Networking Reception in Exhibit Hall

**TRACKS**

- GENERAL COMPLIANCE/HOT TOPICS
- PHYSICIAN COMPLIANCE
- HOW TO SUCCEED AS A COMPLIANCE PROFESSIONAL
- LONG-TERM CARE
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- QUALITY OF CARE
- PRIVACY & SECURITY
- AUDITING & MONITORING
- ADVANCED DISCUSSION GROUP



# Program at a Glance

Monday, April 22

7:00 AM – 6:00 PM	Conference Registration
7:30 – 8:30 AM	Continental Breakfast
8:30 – 8:45 AM	Opening Remarks
8:45 – 9:15 AM	General Session: <b>OIG Update</b> – <i>Daniel R. Levinson, Inspector General, Office of Inspector General, U.S. Department of Health and Human Services</i>
9:15 – 10:15 AM	General Session: <b>Be Quiet, Be Heard: The Paradox of Persuasion</b> – <i>Peter A. Glaser, PhD, Glaser &amp; Associates, Inc.; Susan R. Glaser, PhD, Glaser &amp; Associates, Inc.</i>
10:15 – 11:00 AM	Networking Break in Exhibit Hall
11:00 AM – 12:00 PM BREAKOUT SESSIONS	✓ 101 <b>Inside the Beltway: Compliance Effectiveness Tips</b> – <i>Greg Radinsky, Vice President &amp; Chief Corporate Compliance Officer, North Shore-LIJ Health System</i>
	102 <b>Cyber Compliance: What Every Compliance Professional Needs to Know about Cyber Risks and Cyber Vigilance</b> – <i>David Childers, President and Chief Executive Officer, Compli; Vivek Krishnamurthy, Associate, Foley Hoag LLP</i>
	103 <b>What You Say Can and Will Be Used Against You</b> – <i>Barbara Duffy, Shareholder, Lane Powell; Steven Lack, Supervisory Special Agent (Retired), U.S. Department of Health and Human Services, Office of Inspector General, Office of Investigations</i> <b>CHC CHPC CHRC</b>
	104 <b>OCR Reports on Enforcement of the HIPAA Rules</b> – <i>David Holtzman, Senior Health IT and Privacy Specialist, HHS Office for Civil Rights</i> <b>CHPC</b>
	105 <b>Managing Physician Financial Relationships</b> – <i>Michelle Pendergrass, Director of Compliance and Privacy Officer, Midland Memorial Hospital; Darren Skyles, Attorney, Cotton Bledsoe Tighe &amp; Dawson, PC</i> <b>CHC</b>
	106 <b>Practical Strategies for Minimizing Stark Risk</b> – <i>Dan Roach, VP Compliance and Audit, Dignity Health; Robert Wade, Partner, Krieg Devault LLP</i> <b>CHC</b>
	107 <b>Patient Identity Theft: Risk, Controls, and Audit</b> – <i>Mark Ruppert, Director Internal Audit, Cedars-Sinai Medical Center</i> <b>CHPC</b>
	108 <b>One Day at a Time: Compliance and Ethics in the Aftermath of a Tragedy</b> – <i>Ginger Banteen, Quality Improvement Manager, Columbia Community Mental Health</i>
	109 <b>Navigating the OIG's Self-Disclosure Protocol</b> – <i>Kenneth Coronel, Chief Legal Officer, Verisys Corporation; Susan Gillin, Deputy Branch Chief, Administrative and Civil Remedies Branch, Office of Inspector General, U.S. Department of Health and Human Services; Laura Laemmle-Weidenfeld, Partner, Patton Boggs</i> <b>CHC</b>
	111 <b>Conflicts of Interest: What Happens When What Is Reported to You Does Not Match What Is Reported by or to Others?</b> – <i>Marti Arvin, Chief Compliance Officer, UCLA Health System; Leon Goldman, Principal, Goldman Healthcare Compliance Consulting, LLC</i> <b>CHC</b>
	112 <b>Building a Compliance Program from the Ground Up</b> – <i>Ryan Meade, Partner, Meade &amp; Roach, LLP; Steven Ortquist, VP Chief Ethics &amp; Compliance Officer, Sutter Health</i> <b>CHC</b>
	AD1 <b>Fighting the Good Fight: Expanding Best Practices Beyond the RAC</b> – <i>Aurae Beidler, Compliance Certificate Program Manager, Pacific University; Monica Freedle, Corporate Compliance Project Specialist, Legacy Health</i>
AD2 <b>The Latest Trends in Data Breach Threats</b> – <i>Ted Kobus, Shareholder, BakerHostetler</i> <b>CHPC</b>	
12:00 – 1:00 PM	Networking Luncheon
1:00 – 1:30 PM	Networking Break in Exhibit Hall
1:30 PM – 2:30 PM BREAKOUT SESSIONS	201 <b>Non-Profit Governance: What Every Compliance Officer Should Know</b> – <i>Heidi Christianson, Shareholder, Nilan Johnson Lewis</i>
	202 <b>An Integrated Approach to Compliance &amp; Risk</b> – <i>Tim Kennedy, Director of Operations, Wolters Kluwer Law &amp; Business; Keisha Lightbourne, Senior Product Manager, Wolters Kluwer Law &amp; Business</i> <b>CHC</b>
	203 <b>MDS 3.0: A Compliance Officer's Nightmare or Nirvana?</b> – <i>Steven Littlehale, Executive Vice President/Chief Clinical Officer, PointRight Inc.; Donna Maasser, Senior Director &amp; Deputy Compliance Officer, Extencare Health Services Inc</i>
	204 <b>Patient Privacy Requirements Beyond HIPAA</b> – <i>Carrie Bill, Associate, Feldesman Tucker Leifer Fidell LLP; Mike Glomb, Partner, Feldesman Tucker Leifer Fidell LLP; Jane Hyatt Thorpe, Associate Professor, George Washington University</i> <b>CHPC</b>
	205 <b>Managing Risk in Psychiatric Medication Management</b> – <i>Mary Thornton, President, MTA, Inc</i>
	✓ 206 <b>Compliance Issues in Managed Care</b> – <i>David Deaton, Partner, O'Melveny &amp; Myers LLP; James Sheehan, Chief Integrity Officer/Executive Deputy Commissioner, New York City Human Resources Administration</i>
	207 <b>Understanding Audit Error Rates: How Are We Doing?</b> – <i>Tim Renjilian, Sr Managing Director, FTI Consulting; Sara Kay Wheeler, Partner, King &amp; Spalding</i>
	208 <b>Why Compliance Officers Should Care About Improving Quality of Care</b> – <i>Andrew Rowe, CEO, AllMed Healthcare Mgmt Inc</i>
	209 <b>Not a Quality Expert? How Do You Integrate Quality into Your Compliance Program?</b> – <i>Rose Marie Babbitt, Senior Director of Program Integrity and Operations, Federal Contracts and Grants, American Pharmacist Association</i>
	210 <b>Hidden Liabilities in the EMR</b> – <i>Catherine Gray, Director Corporate Compliance, Vidant Health; Marie Moseley, Director Regulatory Affairs, Vidant Health</i>
	211 <b>The Role of Compliance in Effectively Managing External Audits</b> – <i>Timothy Cleary, SVP Compliance, Internal Audit &amp; Privacy, Health Quest Systems, Inc; Stephen Gillis, Dir, Billing Compliance, Mass General Hospital</i> <b>CHC CHPC CHNC</b>
	AD3 <b>Integrating Quality into Your Compliance Program</b> – <i>Robert Ossoff, Asst Vice Chancellor Compliance, Vanderbilt Medical Center; Jeff Wiggins, Vice President, Audit and Compliance, Vidant Health; Kathleen Yaremchuk, VP Clinical Practice Performance, Henry Ford Health System</i> <b>CHC</b>
AD4 <b>What Makes a Compliance Committee Effective?</b> – <i>Steven Ortquist, VP Chief Ethics &amp; Compliance Officer, Sutter Health; Judy Ringholz, Deputy Chief Compliance Officer, Sutter Health</i> <b>CHC</b>	
AD5 <b>Stark Compliance Practices</b> – <i>Richard Church, Partner, K&amp;L Gates LLP</i> <b>CHC</b>	
2:30 – 3:00 PM	Networking Break in Exhibit Hall

**TRACKS**

- GENERAL COMPLIANCE/HOT TOPICS
- PHYSICIAN COMPLIANCE
- HOW TO SUCCEED AS A COMPLIANCE PROFESSIONAL
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- LEGAL & REGULATORY
- QUALITY OF CARE
- PRIVACY & SECURITY
- AUDITING & MONITORING
- ADVANCED DISCUSSION GROUP

# Program at a Glance

Monday, April 22

3:00 PM – 4:00 PM BREAKOUT SESSIONS	301 Social Networking: Managing the Risks and Realizing the Benefits – Jim Donaldson, Director of Corporate Compliance, Privacy and Security Officer, Baptist Health Care Corp.
	302 Physician Alignment: Legal and Fair Market Value Compliance – Cheryl Camin Murray, Shareholder, Winstead PC; Missy Schrib, Senior Manager, Strategic Value Group
	303 2013 OIG Work Plan for Post Acute Providers – David Cade, Deputy General Counsel, U.S. Department of Health and Human Services; Alan Schabes, Partner, Benesch, Friedlander, Coplan & Aronoff LLP
	304 The Defining Moments of a Data Breach – John Ford, Principal, Sienna Group LLC; Kurt Long, CEO, Founder, FairWarning, Inc. <b>CHPC</b>
	305 Anatomy of a Guilty Referral Relationship: Dissecting the Risk Areas and Ensuring Compliance – LeToia Crozier, VP of Comp & Reg Affairs, Cogent HMG; Anna Grizzle, Partner, Bass, Berry & Sims PLC
	306 What Is Happening in the Pharmacy? – Daniel Fitzgerald, Senior Attorney, Regulatory Law, Litigation & Regulatory Law Department, Walgreen Co.; James Mathis, Consultant, James S Mathis, Esq
	307 Audit Appeals and Preparing for the Future – Dawn Crump, Network Director of Audit and Compliance, SSM Healthcare; Susan Emanuel, Director, Revenue Services, System RAC Coordinator, Dignity Health; Andrew Wachler, Owner/Principal, Wachler & Associates, P.C.
	308 Compliance as a Quality of Care Metric – Uri Bilek, Associate, Feldesman Tucker Leifer Fidell LLP; Carrie Bill, Associate, Feldesman Tucker Leifer Fidell LLP
	309 Utilizing Data to Identify Fraud, Waste, and Abuse – Judi McCabe, Director, Surveillance & Utilization Review, Medical Review and Medical Policy TMF Health Quality Institute; Earl Smith III, Chief Medical Officer, TMF Health Quality Institute; Craig Spotser, Criminal Investigator, TX Office of the Attorney General – Medicaid Fraud Control Unit <b>CHC</b>
	✓ 310 Fair Market Value and Clinical Trial Budgets – Kelly Willenberg, President, Kelly Willenberg, LLC <b>CHPC</b>
	311 Monitoring, Measuring, and Mitigating Social Media Risk – Kerl Dawson, VP of Compliance/Online Advisory Services, MetricStream <b>CHPC</b>
	AD6 Lessons From the Field: Analysis and Solutions of Audience Submitted Case Studies – D. Scott Jones, Senior Vice President, Claims, Risk Management and, HPIX; Richard Moses, Physician/Attorney
	AD7 Compliance Program Self Assessment – John Falcetano, Chief Audit & Compliance Officer, Vidant Health <b>CHC CHPC CHRC</b>
AD8 EMR: Use the Tool to Enhance Rather Than Hinder Compliance – James Taylor, Physician, Kaiser Permanente	
4:00 – 4:30 PM	Networking Break in Exhibit Hall
4:30 PM – 5:30 PM BREAKOUT SESSIONS	401 UMDNJ: Compliance after Deferred Prosecution – Bret Bissey, Senior Vice President, Ethics & Chief Compliance Officer, UMDNJ
	402 Analyzing Risk in Hospital/Physician Transactions – David Pursell, Partner, Husch Blackwell; David Thompson, Director, CBIZ
	403 Provider Predictive Modeling: Utilizing SNF Data to Mitigate Risk – Shawn Halcsik, Director of Compliance, Evergreen Rehabilitation; Paula Sanders, Principal, Health Care Group Chair, Post & Schell, PC
	404 Mobile Threats and How Healthcare Can Reduce Risks – Rick Kam, President & Co-Founder, ID Experts; Ted Kobus, Shareholder, BakerHostetler
	405 Trends in Physician Compensation Arrangements – Jen Johnson, Partner, VMG Health
	406 Private Contractor Actions: RACs, ZPICs, etc. – Thomas Beimers, Special Counsel, Faegre Baker Daniels; Ralph Wuebker, Chief Medical Officer, Executive Health Resources
	407 Who Is Looking at Your Electronic Medical Record? A Practical Guide to Building an Audit Plan – Sandy Gilmore, HIPAA Compliance, Legacy Health <b>CHC</b>
	408 Not a Quality Expert? How Do You Integrate Quality – Donna Abbondandolo, Director of Compliance, Catholic Health Services of LI; Patricia Ariel, VP & Chief Compliance Officer, Westchester Medical Center
	409 Using RAC Data Mining to Improve Compliance – Samuel Donio, President, CBIZ KA Consulting, LLC; William Segal, Corporate Compliance Officer, St. Joseph's Healthcare System
	410 Research Participants Rights and Privacy – Tammy Stewart, Director of Research Integrity, Regional Health; Jenny Bernhard, Privacy Officer, Regional Health <b>CHPC</b>
	✓ 411 Failing and/or Thriving as a Compliance Officer – Brian Beard, Director Compliance & Ethics, McKesson Specialty Health; Kenneth Zeko, Director, KPMG <b>CHC</b>
AD9 Tools for Business Ethics Leaders – Bruce Anderson, Chief Ethics Officer, Health Net, Inc	
AD10 Preparing Compliance Program Effectiveness Reports – Gary Herschman, Chair, Health Care Practice Group, Sills Cummis & Gross P.C. <b>CHC</b>	
AD1b/REPEAT Fighting the Good Fight: Expanding Best Practices Beyond the RAC – Auae Beidler, Compliance Certificate Program Manager, Pacific University; Monica Freedle, Corporate Compliance Project Specialist, Legacy Health	
5:30 – 7:00 PM	Networking Reception in Exhibit Hall

Tuesday, April 23

7:30 AM – 4:00 PM	Conference Registration
7:30 – 8:30 AM	Continental Breakfast
8:30 – 8:40 AM	Opening Remarks
8:40 – 9:40 AM	General Session: ACO/Healthcare Reform – Moderator: John Falcetano, Chief Audit & Compliance Officer, Vidant Health; Panelists: Shawn DeGroot, Associate Director, Navigant; Frank Sheeder, Partner, DLA Piper; Troy Barsky, Director, Division of Technical Payment Policy, Chronic Care Policy Group, Center for Medicare, Centers for Medicare & Medicaid Services; Mark B. McClellan, MD, PhD, Director, Engelberg Center for Health Care Reform, Brookings Institution; Former Administrator, Center for Medicare and Medicaid Services (CMS); Former Commissioner, Food and Drug Administration (FDA)
9:40 – 10:25 AM	General Session – Dan Heath, co-author of the best-sellers Switch and Made to Stick, and the new book Decisive

TRACKS




-  GENERAL COMPLIANCE/HOT TOPICS
-  LONG-TERM CARE
-  PRIVACY & SECURITY
-  PHYSICIAN COMPLIANCE
-  LEGAL & REGULATORY
-  AUDITING & MONITORING
-  HOW TO SUCCEED AS A COMPLIANCE PROFESSIONAL
-  QUALITY OF CARE
-  ADVANCED DISCUSSION GROUP




# Program at a Glance




Tuesday, April 23

10:25 – 11:00 AM	Networking Break in Exhibit Hall
11:00 AM – 12:00 PM BREAKOUT SESSIONS	501 Annual Reporting: Documenting Compliance Programs – Sarah Campbell, Director, Policies & Procedures Management, Tenet Healthcare Corporation; Al Josephs, Sr Director Policy and Training, Tenet Healthcare Corporation <b>CHC</b>
	✓ 502 Conflicts of Interest: The Compliance Officer's Role in Assuring the Process of Disclosure Is Effective – Sheryl Vacca, SVP/Chief Comp & Audit Officer, University of CA <b>CHC</b>
	503 100 Ways to Prevent an Overpayment – Jo Ann Brunson, LVN, RAC-CT, MDS Coordinator, Westview Manor Nursing Home; Bill Ulrich, President, Consolidated Billing Svcs Inc
	504 HHS/OCR & HIPAA/HITECH Audits: Report and Findings – Linda Sanches, Sr. Health Information Privacy Specialist, Office for Civil Rights <b>CHPC</b>
	505 Compliance Risks with Non-Physician Practitioners – Kimberly Huey, President, KGG Coding & Reimbursement Consulting
	506 Anti-Kickback Statute 101 – Robert Brown, Senior Compliance Specialist, UW Medicine Compliance, University of Washington; Anjana Patel, Vice Chair, Health & Hospital Law Practice Group, Sills Cummis & Gross P.C. <b>CHC</b>
	507 Don't Take Those Old Records Off the Shelf: Change Your Tune Using Real-Time Monitors to Focus on Risks Identified by OIG, CMS and Other Regulators – Andrei Costantino, Director Organizational Integrity, Trinity Health
	508 Enhancing Patient Quality & Safety with Compliance – John Kalb, Operational Excellence Executive/Compliance & Privacy Officer, Kootenai Health
	509 Settlement Is Not Always the Final Chapter – Rebekah Plowman, Partner, Nelson Mullins Riley & Scarborough, LLP; William Priest, Chief Compliance Officer SouthernCare Inc
	510 Advanced Principles of Compliance Program: Bringing It All Together – Dawnese Kindelt, System Compliance Dir/Clinics, Dignity Health; Lisa Silveria, System Compliance Director, Dignity Health <b>CHC</b>
	511 Avoiding Career Burnout In Healthcare Compliance – Shawn DeGroot, Associate Director, Navigant; Robert Ossoff, Asst Vice Chancellor Compliance, Vanderbilt Medical Center
	AD2b/REPEAT The Latest Trends in Data Breach Threats – Ted Kobus, Shareholder, BakerHostetler <b>CHPC</b>
	AD3b/REPEAT Integrating Quality Into Your Compliance Program – Jeff Wiggins, Vice President, Audit and Compliance, Vidant Health; Kathleen Yaremchuk, VP Clinical Practice Performance, Henry Ford Health Syst <b>CHC</b>
	AD4b/REPEAT What Makes a Compliance Committee Effective? – Steven Ortquist, VP Chief Ethics & Compliance Officer, Sutter Health; Judy Ringholz, Deputy Chief Compliance Officer, Sutter Health <b>CHC</b>
12:00 – 1:00 PM	Networking Luncheon
1:00 – 1:30 PM	Networking Break
1:30 PM – 2:30 PM BREAKOUT SESSIONS	601 The Road to a Compliant Culture through the Bridge of Communication and the Vehicle of Education – Deann Baker, Sutter Care at Home Compliance Officer, Sutter Health; Danna Teicheira, System Privacy Officer, St Luke's Health System <b>CHC</b>
	602 Keeping Up, Moving Ahead: Practical Guidance for Success and Survival in the Modern World of Government Audits – Daniel Gospin, Attorney, Epstein Becker & Green; Corey Perman, Compliance Officer-North Region/Legal Counsel, Advocate Health Care
	603 A View From New York: Compliance Mandates You May See in Your State – Carol Booth, Compliance Specialist, NY Office of Medicaid Inspector General; Susan Kayser, Partner, Duane Morris LLP
	✓ 604 Managing Privacy & Security of Patient EHR Portals – Adam Greene, Partner, Davis Wright Tremaine LLP; Jacki Monson, Privacy Officer, Mayo Clinic <b>CHPC</b>
	605 Proof Positive: Identify Your Statistically-Hot Providers (Before the Feds Do) – Jared Krawczyk, Chief Product Architect, FI-Med Management, Inc.; Curtis Udell, Director, Corporate Compliance, Center for Vein Restoration
	606 DMEPOS Compliance Issues – Denise Fletcher, Attorney, Brown & Fortunato, P.C.; Nathaniel Lacktman, Senior Counsel, Foley & Lardner LLP
	607 Auditing the Physician Credentialing Process – Mark Eddy, VP Internal Audit, HCA
	608 CEOs Perspectives: Operational Viability & Compliance: Challenges & Opportunities – Les Leech, CEO, Sunrise, Inc.; Dennis Felty, President/CEO, Keystone Human Services
	609 Congressional Priorities for 2013: What Every Healthcare Provider Needs to Know – Kim Brandt, Chief Healthcare Investigative Counsel for Senate Finance Committee, US Senate Finance Comm, Min Staff
	610 The Future of Compliance: Where Law Students and New Attorneys Fit In – Anna Dolinsky, Associate, Akin Gump Strauss Hauer & Feld, LLP, Washington, DC; Hannah Levinson, Candidate Class of 2013, University of Maryland Francis King Carey School of Law; Debbie Troklus, Managing Director, Aegis Compliance & Ethics Center
	611 Know Thy Customer: Credentialing & Compliance – Regina Gurvich, Chief Compliance Officer, Manhattan's Physician Group
AD5b/REPEAT Stark Compliance Practices – Richard Church, Partner, K&L Gates LLP <b>CHC</b>	
AD6b/REPEAT Lessons From the Field: Analysis and Solutions of Audience Submitted Case Studies – D. Scott Jones, Senior Vice President, Claims, Risk Management and, HPIX; Richard Moses, Physician/Attorney	
AD7b/REPEAT Compliance Program Self Assessment – John Falcetano, Chief Audit & Compliance Officer, Vidant Health <b>CHC CHPC CHRC</b>	
2:30 – 3:00 PM	Networking Break

TRACKS

 GENERAL COMPLIANCE/HOT TOPICS  
 LONG-TERM CARE  
 PRIVACY & SECURITY

 PHYSICIAN COMPLIANCE  
 LEGAL & REGULATORY  
 AUDITING & MONITORING

 HOW TO SUCCEED AS A COMPLIANCE PROFESSIONAL  
 QUALITY OF CARE  
 ADVANCED DISCUSSION GROUP

# Program at a Glance

## Tuesday, April 23

3:00 PM – 4:00 PM  
BREAKOUT SESSIONS

702 Programming Your Program – Nancy Vogt, Director of Compliance, Aurora Health Care
703 The Making of a Successful Quality of Care CIA – Tamar Abell, President, Care 2 Learn; Katie Arnholt, Senior Counsel, Administrative and Civil Remedies Branch, Office of Inspector General, U.S. Department of Health and Human Services
704 Conducting a Privacy Risk Assessment – Eric Dieterich, Sunera <b>CHPC</b>
705 ACA: From a Practical, Practice Perspective – Frank Ruelas, Principal, HIPAA College <b>CHPC</b>
706 Creative Arguments to Avoid/Defend Overpayments – David Glaser, Shareholder, Fredrikson & Byron PA; Gabriel Imperato, Managing Partner, Broad and Cassel
707 Auditing Considerations Related to Implantable Devices Replaced Under Recall or Warranty – Debi Weatherford, Executive Director Internal Audit, Piedmont Healthcare
✓ 708 The Role of Quality and Performance Management in Compliance – Dr. Kristine Koontz, Quality Management Director, Keystone Human Services, Inc.
709 Developments in the 340B Program – Richard Bucher, Compliance Manager/Regulatory Counsel, Intermountain Healthcare; Edwin Rauzi, Partner, Davis Wright Tremaine LLP
710 One OIG, Many Tools: Strategic Approaches to Protecting Integrity in an Evolving Health Care Landscape – Brian P. Ritchie, Assistant Inspector General for Centers for Medicare & Medicaid Audits, Office of Audit Services, Office of Inspector General; Jennifer A. Trussell, Special Agent in Charge, Investigations Branch Office of Investigations, Office of Inspector General; Robert K. DeConti, Assistant Inspector General for Legal Affairs, Office of Counsel to the Inspector General; Vicki L. Robinson, Senior Counsel for Policy, Office of Inspector General; Erin C. Bliss, Director, External Affairs, Office of Inspector General
711 Compliance Issues in Mergers and Acquisitions – John Fisher II, Health Care & Compliance Counsel, Ruder Ware, LLSC
AD8b/REPEAT EMR: Use the Tool to Enhance Rather Than Hinder Compliance – James Taylor, Physician, Kaiser Permanente
AD9b/REPEAT Tools for Business Ethics Leaders – Bruce Anderson, Chief Ethics Officer, Health Net, Inc
AD10b/REPEAT Preparing Compliance Program Effectiveness Reports – Gary Herschman, Chair, Health Care Practice Group, Sils Cummis & Gross P.C. <b>CHC</b>

## Wednesday, April 24

7:30 AM – 12:00 PM

Conference Registration

8:00 AM – 9:45 AM  
POST-CONFERENCE  
BREAKOUT SESSIONS

POSTAM1 NCD-LCD Education: A Proactive Approach to Denials – Andrew Conkovich, VP Corporate Responsibility Officer, Carondelet Health Network; Greg Harlow, Director Clinical Trials Operations, Carondelet Health Network
POSTAM2 The Effective Use of Valuations for FMV Compliance – Timothy Smith, President, Touchstone Valuation, LLC
POSTAM3 State Development of Medicaid ACO – Keith Hallelend, Shareholder, Hallelend Habicht PA
POSTAM4 Your Personalized Road Trip to HIPAA/HITECH Compliance Land – Erika Bol, Privacy Officer, Colorado Dept of Health Care Policy & Financing; Shallic Bryant, HIPAA Privacy & Security Assistant Manager/ Deputy Privacy & Security Officer, CaroMont Health; Stephanie Heline, Owner, Strategic Design Studio <b>CHPC</b>
POSTAM5 E/M Documentation: Deal or No Deal? – Maggie Mac, Director of Compliance Operations, SL Quality Diagnostic and Treatment Center; Dennis Mihale, CEO and CMO, Sunera-Parses LLC <b>CHC</b>
POSTAM6 Hospice/Nursing Home Partnership: Do it Right! – Rachel Hold-Weiss, Partner, Arent Fox LLP; Connie Raffa, Partner, Arent Fox LLP
POSTAM7 Painless Statistics for Compliance Professionals – Frank Castronova, Health Care Management Biostatistician, Blue Cross Blue Shield of Michigan; Andrea Merritt, Dir, Compliance & Audit Svcs, Hall Render Killian Heath & Lyman PLLC
✓ POSTAM8 Keeping It All Straight: Compliance Resources at Your Fingertips – Dawnese Kindelt, System Compliance Dir/Clinics, Dignity Health; Lisa Silveria, System Compliance Director, Dignity Health
POSTAM9 Make Culture Matter! How to Show Leadership that Culture is the Key to Performance – David Gebler, President, Skout Group, LLC <b>CHC CHPC CHRC</b>

9:45 – 10:00 AM

Networking Break

10:00 AM – 11:45 AM  
POST-CONFERENCE  
BREAKOUT SESSIONS

✓ POSTAM10 Importance of Compliance Training – Michelle Hellstern, Compliance Manager, Erlanger Health System <b>CHC CHPC CHRC</b>
POSTAM11 Best Practices for Denials Management – Ralph Wuebker, Chief Medical Officer, Executive Health Resources
POSTAM12 Healthcare Reform: The Road Ahead – Kevin Lyles, Partner, Jones Day; Diane Meyer, Chief Compliance & Privacy Officer, Stanford University Medical Center; Frank Sheeder, Partner, DLA Piper
POSTAM13 Privacy Officer Roundtable: OCR Enforcement—Are You Ready? – Marti Arvin, Chief Compliance Officer, UCLA Health System; Brian Beard, Director Compliance & Ethics, McKesson Specialty Health <b>CHPC</b>
POSTAM14 Design a Useable Compliance Policy – Jacqueline Bjoink, Director of Compliance, Arizona Community Physicians <b>CHC CHPC CHRC</b>
POSTAM15 Negotiating False Claims Act Settlements and Corporate Integrity Agreements – Daniel Anderson, Assistant Director, US Department of Justice; Roger Goldman, Partner, Latham & Watkins LLP; Marc Raspanti, Paetragallo Gordon Alfano Bosick & Raspanti, LLP
POSTAM16 Tips for Auditing/Monitoring of Medicare Documentation for PT, OT, and Speech – Carol Novak, Practice Specialist, Kaiser Foundation Health Plan; Philip Yuson, Practice Specialist, Rehabilitative Services, Kaiser-Permanente, Southern California Region
POSTAM18 ICD-10 for the (Non Coder) Compliance Officer – Betty Bibbins, President & Chief Med Officer, DocuComp LLC; Nicole Harper, Dir Training & Dev/ Process Improvement-Revenue Cycle Operations, St. Vincents Health

2:00 – 4:00 PM

CHC, CHPC, and CHRC Certification Exams

### TRACKS

-  GENERAL COMPLIANCE/HOT TOPICS
-  PHYSICIAN COMPLIANCE
-  HOW TO SUCCEED AS A COMPLIANCE PROFESSIONAL
-  LONG-TERM CARE
-  LEGAL & REGULATORY
-  QUALITY OF CARE
-  PRIVACY & SECURITY
-  AUDITING & MONITORING
-  ADVANCED DISCUSSION GROUP

March 1, 2013

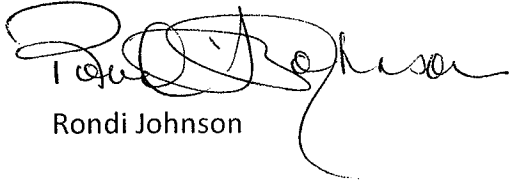
To: Council

From: Rondi Johnson

Subject: Requesting a Smart Phone

I'm requesting the council's permission to have a Smart Phone issued to me for my job. I will be traveling a lot for my job and it would make it easier for me to access my emails, calendar and texts. I appreciate your consideration and time in this matter.

Thank you,

A handwritten signature in black ink, appearing to read "Rondi Johnson". The signature is stylized with large, overlapping loops and a long, sweeping tail that extends downwards and to the right.

Rondi Johnson

Deputy Director of Health & Human Services

**Karuk Tribal Health & Human Services Program  
ACQI Committee Meeting/Conference Call  
KCHC Teleconference Room  
February 27, 2013  
9:30 am-10:30 am**



1. Call Meeting to Order – Rondi L. Johnson
2. Roll Call/Sign In – Vickie Walden
3. Approve Agenda – Rondi L. Johnson
4. Approve Minutes of December 12, 2012, January 9, 2013, and February 13, 2013.  
  
– Rondi L. Johnson
5. Performance Improvement Reports Due based on 4th Quarter 2012-Oct., Nov., Dec. 2012
  - 5.1. Happy Camp/Orleans Eligibility Report – Nadine McElyea
  - 5.2. Yreka Eligibility Report – Sharon Denz
  - 5.3. Yreka Dental Records – Susan Beatty
  - 5.4. Happy Camp Dental Records – Cheryl Tims (Tabled)
6. GPRA Reports
  - 6.1 Improve Childhood Imz Rates – Vickie Simmons
7. New Business
  - 7.1 Complaints/Incidents/Suggestions –Rondi Johnson
  - 7.2 Selection of PI – Rondi L. Johnson
  - 7.3 Patient Satisfaction Surveys
8. Old Business
  - 8.1 HTN – Fabian Alvarado
  - 8.2 Happy Camp – Carrie Davis
9. Policy Approvals: 05-002-226 Sentinel Event Flow Chart, 05-002-225 Incident Flow Chart and Incident/Occurrence Report and Policy
10. Next Meeting April 10, 2013 at 8:15 am
11. Adjourn

Eligibility Report  
 ACQI Meeting  
 Oct. – Dec. . 2012  
 February 19, 2013

During this period I have submitted SSI/SSD applications for 2 people; MediCal applications for two people and Path2Health (CMSP) applications for six. I know of three of those who have been approved, one of which is an SSI/SSD application. SSI/SSD applications can take months (or even years). All applications are submitted online with verification documentation submitted via fax or by mail. People who apply for and receive SSI have MediCal attached, giving them medical coverage as well as income.

I am available for appointments Monday through Friday. MediCal and Path2Health can require as much as 45 minutes to complete, while SSD and SSI applications take 30. Their Disability Report can take up to several hours depending on the number of jobs and doctors they have to document. They will then receive more requests for completion of forms such as a Function Report. Some people need help with that follow up.

I am pursuing training in the HICAP (Health Insurance Counseling and Advocacy) program which works with people with Medicare to negotiate the complicated coverage system for their hospital, doctor and prescription coverage. There will be a two-day training in Redding in March. This is the program Babbie Peterson of the Nutrition Program uses to help seniors. I believe this training will give me information to better help people. I am in contact with Debbie Wieland from the HICAP office in Redding about that training.

	October 2012	November 2013	December 2013	TOTALS
MediCal	1	1	0	2
CMSP	4	1	1	6
SSD/SSI	1	1	0	2
TOTALS	6	3	1	10
Clients	8	7	3	18
Services Provided	14	15	3	32

People return for follow up to their applications with questions, needing to copy or fax information or to call Siskiyou County Human Services with questions. As you can see, in this quarter I provided 18 people with 32 separate services.

Nadine McElyea, Administrative Assistant/Patient Eligibility Worker  
 Child and Family Services, Happy Camp

Activity  
Progress Report 4th Quarter  
October, November, and December 2012

Title Eligibility Report

Purpose: To provide good service to all clients as needed.

Problems: None for 4th quarter.

Data pulled from the number of clients that I had processed for the various programs  
Medi-Cal, CMSP for 4th quarter.

Finding: Total applicants for 4th quarter is (2)  
October 2012 Total is (1) Clients (1) for CMSP possible pending  
November 2012 Total is (0) Clients for CMSP, Medi-Cal  
December 2012 Total (1) Client CMSP pending.

Sharon Denz  
Eligibility Worker for Yreka  
02/21/2012



# KARUK TRIBAL HEALTH AND HUMAN SERVICES PROGRAM

2013

## Create an Immunization Recall System

### I. Purpose of the Study

The purpose of this study is to create an immunization recall system so that our childhood immunization rates for two year olds will increase by 2% or more per year from the 53% rate reported in 2008 on the UDS Report.

### II. Identification of the Performance Goal

The Karuk GPRA 2008 immunization result (63%) for Native American, 19 to 35 month olds is low compared to the GPRA 2008 California Area Results (66%) and to the 2008 National Average Results (78%). The 2008 UDS for all two year olds was 53%. This low rate needs to be increased since it is important that children receive the appropriate vaccinations at an early age in order to prevent death and disability from transmissible and infectious childhood diseases. Low immunization rates can result in deadly epidemics that affect both the children who are patients of our clinics as well as children in the communities where our clinics are located.

In 2009 we considered an increase of 2% per year to be reasonable and achievable.

### III. Description of the Data

The baseline data for this performance improvement project was taken from the 2008 UDS Report. This information came from results for both Native American and Non-Native American, two year old children. The UDS report now includes more vaccinations (increased by 3 in 2011) than the GPRA Report (see chart below). The GPRA report covers only Native American children, ages 19 to 35 months of age.

	4DTaP,3IPV,1MMR, 3Hib,3HepB	Plus 1VZV	Plus 4PCV	Plus 2HepA	Plus 2or3RV	Plus 2Flu
UDS	Yes	Yes	Yes	Yes	Yes	Yes
GPRA, past	Yes	No	No	No	No	No
GPRA, present	Yes	Yes	Yes	No	No	No

DTaP – Protects against diphtheria, tetanus, and pertussis (whooping cough)

IPV – Protects against polio

MMR – Protects against measles, mumps and rubella

Hib – Protects against *Haemophilus influenzae* type b.

HepB – Protects against Hepatitis B

VZV – Protects against chickenpox

PCV – Protects against pneumococcal disease  
HepA- Protects against Hepatitis A  
RV – Protects against rotavirus.  
Flu – Protects against influenza.

HRSA requires that the children be properly immunized by their 2<sup>nd</sup> year and GPRA by their 3<sup>rd</sup>.

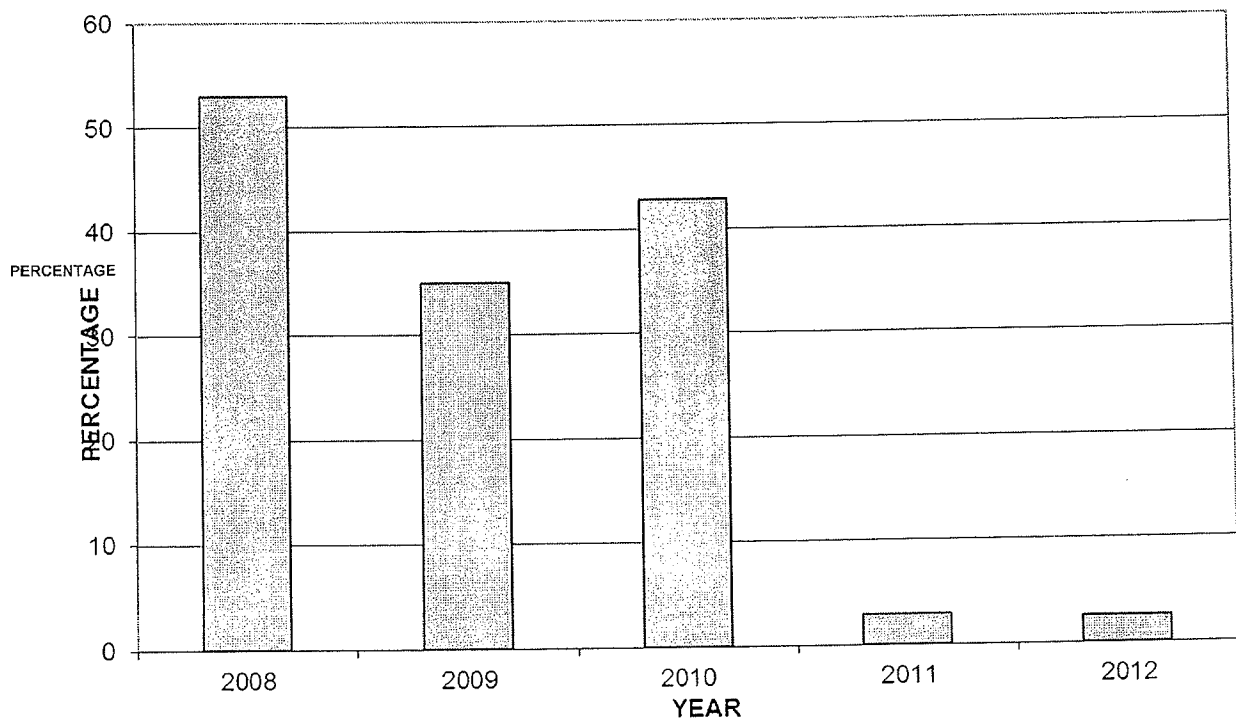
#### IV. Evidence of Data Collection

We are able to pull quarterly results from RPMS' UDS section so, in the future, we will be able to monitor improvement on a continuous basis. In addition, we can also pull GPRA results and monthly results for review.

#### V. Data Analysis

The 2008 UDS result for immunizations was 53%. The 2011 rate was 3%. This is drastically reduced from previous rates because HRSA increased the amount of required immunizations. The 2012 rate was 2.6%. Our GPRA Report requires fewer vaccines than HRSA so the results are higher. The official numbers for 1st quarter 2013 GPRA report show a result of 51.0%. This has already exceeded 2012 numbers.

UDS: KARUK IMMUNIZATION RATE



The final 2012 GPRA results from IHS are out. If we compare our 2012 Karuk GPRA result

(45.5%) to the 2012 California Area result (71.3%) and to the 2012 National result (76.8%) it shows substantial room for improvement.

#### **VI. Comparison of Current Performance Against Performance Goal**

Karuk had 53% compliance in 2009 and our goal was to improve by 2% per year. We did not meet this goal in 2009, but in 2010 we did improve. The 2011 rate was a dismal 3% so no improvement. The 2012 did not surpass 2011. Our problem area seems to be the lack of flu and Rota vaccines (short window of opportunity).

#### **VII. Implementation of Corrective Action to Resolve Identified Problem**

The following key steps will be initiated with the intended result that immunizations for **all** children who frequent our Karuk Clinics will be up to date by their 2<sup>nd</sup> birthday.

- Train clinic receptionists on how to open the schedule for recall appointments.
- Ensure that patients do not leave clinic without a follow-up appointment being made.
- Receptionists will make reminder calls the day before the appointment and also instruct the parent/guardian to bring current immunization card/record to appointment.
- A dynamic spreadsheet will be kept to track children's immunization needs using information gathered from RPMS.
- Reminder letters will be sent out to parents/guardians notifying them of the needed immunizations.
- Outreach workers will be sent out when parent/guardians do not respond.

#### **VIII. Re-Measurement**

Every three months (quarter) a UDS Table 6A Report or equivalent will be run for comparison with the last report. This is to determine whether the corrective actions have achieved the desired performance goal.

#### **IX. Implementation of Additional Corrective Actions if Performance Goals Are Not Met**

If the initial corrective actions did not achieve and/or sustain the desired improved performance, implement additional corrective actions and continue re-measurement until the problem is resolved.

#### **X. Communication to Governing Bodies**

Communicate findings of this quality improvement activity on a quarterly basis to the ACQI committee which in turn will report to the Tribal Health Board in the form of meeting minutes and report copies. In addition, these results will be posted on the ACQI bulletin board at each facility for review by clinic staff.

Respectfully Submitted by Vickie Simmons

## PERFORMANCE IMPROVEMENT ACTIVITY

Purpose: To address the failure of appropriate data collection, assessment, and treatment of obesity

Problem: An International—National--Karuk Medical Care--, epidemic of obesity is present, serious, and increasing.

PURPOSE: To reduce excess weight that is associated with BMI 30 or higher

Importance: Obesity is directly related to serious medical disease states including:

1. Diabetes
2. Cardiovascular Disease
3. Renal Failure
4. Diminished self esteem---mental disorders---general dysfunction
5. Others

- Goals:
1. Collection of BMI data: BMI at every patient visit.
  2. Analysis from data available, of the percentage of the presence of obesity in the Karuk Medical Patient population

Data:—BMI-- to be collected by the MA serving the provider

The data will be evaluated against the start up percentage of BMI 30 or higher in the Karuk Medical Clinics patients

There will be evaluations related to National Obesity percentages and trends

The EHR will remind each provider at each appropriate patient visit of the need to check the BMI and institute appropriate counseling and treatment when the BMI exceeds 30

The program is expected to carry forward, with an annual appraisal of results, and annual renewal, or elimination, if ineffective

Robert E Milton MD

Child and Adult weight assessment.

2-Parts

- Children 2 through 17
  - BMI documented during the measurement year and
  - Documented nutrition counseling and
  - Documented counseling for physical activity
- Adults 18+
  - BMI documented at last visit or within 6 months of last visit
  - Follow up plan documented for those whose BMI is outside normal parameters
    - Under 65– BMI over 25
    - 65 and older –BMI over 30
    - Under 65 –BMI is under 18.5
    - 65 and older – BMI is under 22
    - Excluding
      - Pregnant women
      - Terminally ill patients

Calendar Year 2012

60% Children (578 out of 967) with BMI measured and (26 out of 578) 5% counseled.

47% Adults (1068 out of 2285) with BMI documented of which only 24% (249 out of 1068) had a follow-up plan

Proposed Performance Improvement Activity  
Yreka Medical Clinic

Problem: The reminders on patient EHRs are not always resolved expeditiously.

Importance:

- Reminders are designed to assure that the key health issues, specific to each patient, are addressed during the course of the current examination. Unresolved reminders can pose a medical risk to the client, and can place the Tribe in legal jeopardy.
- Reminders are also directly tied to our performance on federal grants. Certain unresolved reminders reduce our grant-related performance rates, potentially placing future funding in jeopardy.

Goals/Objectives:

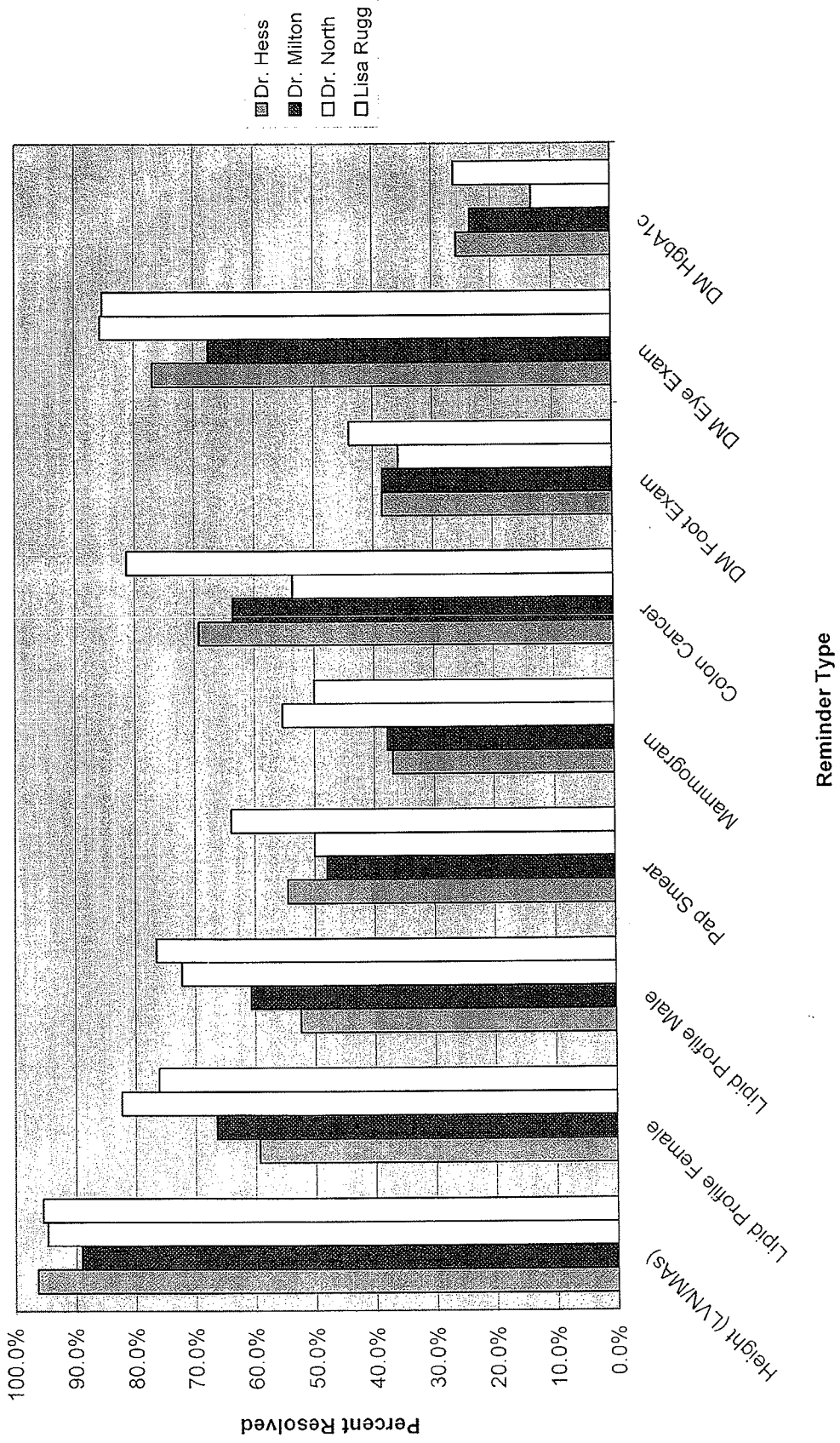
- Selection criteria: Determine no less than six and no more than twelve reminders to be tracked for ongoing evaluation. Candidates for inclusion ideally should be those which are related to the greatest health risk of our clients, and are current grant-related performance standards. Current candidates are listed below.
- Collection of baseline performance data: Determine the current frequency with which the selected EHR reminders are not resolved by stated deadline. It is believed that a problem exists, but collection of baseline data can confirm this, and later be used as a benchmark for improvement. If any of the initially selected reminders seem poor candidates for evaluation, due to pre-existing high performance, another will be selected in its place.
- Data collection: The data will be collected through query of the RPMS database.
- Standard for success: The standard will be the percentage of baseline success, plus a realistic percentage of improvement desired. The improvement percentage will be determined after an analysis of baseline data.

Preliminary Data Point Candidates for Inclusion:

- Height (BMI calculation)
- Lipid profile – female (CVA screen)
- Lipid profile – male (CVA screen)
- Pap smear (cancer screen)
- Mammogram (cancer screen)
- Colon cancer (cancer screen)
- DM foot exam (DM screen)
- DM eye exam (DM screen)
- DM HgbA1c (DM screen)

Current Data: The chart on the following page presents performance by provider on the nine reminder types listed above. It presents the percentage of reminders that were resolved during applicable examinations for the period. The data was compiled by Amy Coapman regarding performance during the period 8/20/2012 through 2/19/2013. This project may be a good candidate for inclusion due to the need for improvement on these critical measures, and due to the significant capacity for such improvement.

Reminder Resolution Performance  
8/20/2012 - 2/19/2013



# Staff Proposal for a Performance Improvement Activity

February 27, 2013

## I. PURPOSE OF STUDY

There have been several patient complaints at the Happy Camp Clinic regarding:

- a. Patients requesting same day appointments and unable to be accommodated
- b. Patients who have been turned away for arriving late for scheduled appointments.

The purpose of this study is to identify if there is a barrier to patient care so that we may improve Patient Centeredness

## I. IDENTIFICATION OF THE PERFORMANCE GOAL

Goals for this study is to verify:

- a. If there are patients requesting same day appointments whom are not being accommodated or if patients are being turned away for being late
- b. How often are patients requesting same appointments not accommodated or how often patients are being turned away for being late
- c. Are staff members following the Karuk Tribe's Policy and Procedures for same day and missed appointments or do the Policy and Procedures need to be amended; Are current appointment scheduling practices leaving enough space to accommodate same day appointments

## II. DESCRIPTION OF THE DATA

We will gather baseline data manually from the Happy Camp clinic. This will be done by recording both the number of phone calls and walk-ins requesting same day appointments that are unable to be accommodated as well as number of patient's arriving late for appointments being sent home without being seen.

## III. IDENTIFICATION OF A STANDARD

We will use the Karuk Tribe's Policy and Procedures for the standard.

Respectfully submitted by Chelsea Chambers, PA-C



## **Staff Proposal for a Performance Improvement Activity**

February 27, 2012

### **I. PURPOSE OF STUDY**

The Child Health Disability and Prevention (CHDP)/Well Child Exam is a critical preventative program to deliver periodic health assessments and services to our children. Unfortunately, children are not consistently getting these routine assessments because they are slipping through the cracks. The purpose of this study is to identify methods for an efficient call-back system to remind families when children are due.

### **II. IDENTIFICATION OF THE PERFORMANCE GOAL**

The goal for this study is to develop a continuous quality improvement plan for our well child visits and increase our current rate by 5%.

### **III. DESCRIPTION OF THE DATA**

We will gather baseline data from ICare regarding children due for well child exams at the Happy Camp clinic and run monthly reports to monitor progress.

### **IV. IDENTIFICATION OF A STANDARD**

Continuous Quality Improvement

Respectfully submitted by Chelsea Chambers, PA-C



Ayukii, we would like to know how you feel about the services we provide so we can make sure we are meeting your needs. Your responses are directly responsible for improving our care to you and the community. All responses will be kept confidential and anonymous. We appreciate your time.  
Yootva

## BLESSING FROM MEDICINE MAN



*Phone: 530-493-1600 ext 2115*  
*Fax: 530-493-1660*

P.O. Box 316  
64236 Second Avenue  
Happy Camp, Ca. 96039



## The Karuk Tribe Clinics Happy Camp, Yreka and Orleans

### PATIENT SURVEY





# Karuk Tribal Health and Human Services Program

Do Not Copy

Do Not Copy

## Incident/Occurrence Report

Section 1: Facts: (to be completed by personnel noting event)

Date: \_\_\_\_\_ Time: \_\_\_\_\_ Exact Location: \_\_\_\_\_

Describe Incident/Occurrence: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

(use 2<sup>nd</sup> sheet if necessary)

Print Name and Date: \_\_\_\_\_

Signature and Title: \_\_\_\_\_

Section 2: Deputy Director/Risk Manager Review:

Refer to:  Safety  Infection Control  Administration

Recommendations: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Deputy Director/Risk Manager Signature and  
Date: \_\_\_\_\_

Section 3: Administrative Review and Recommendations:

Refer to:  ACQI Committee  Karuk Tribal Health Board

Executive Director Signature and Date: \_\_\_\_\_

Policy #05-002-225

vms 5/17/11

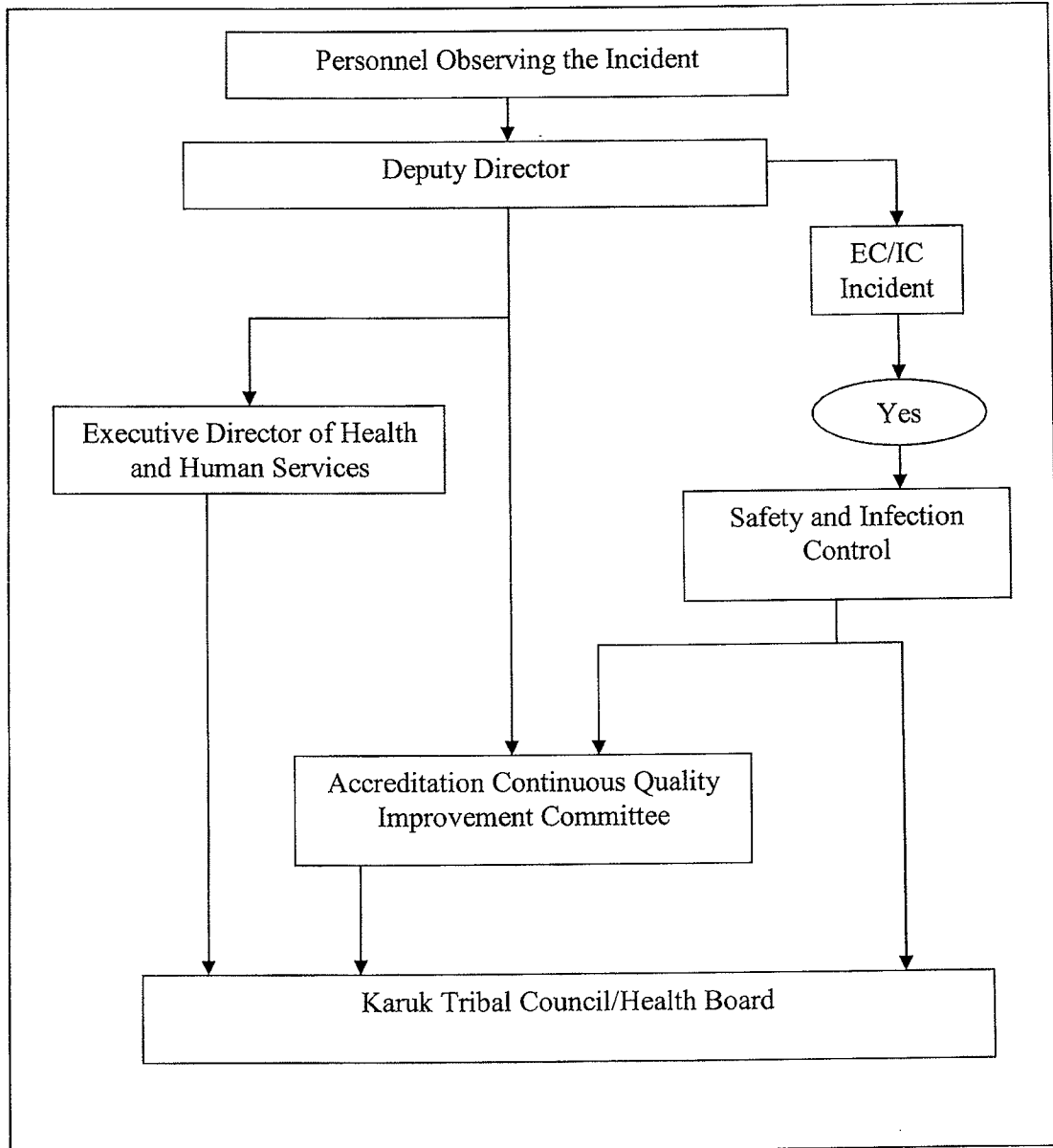
KTHHSP-A 1002

Bring  
line up

Karuk Tribal Health and Human Services Program

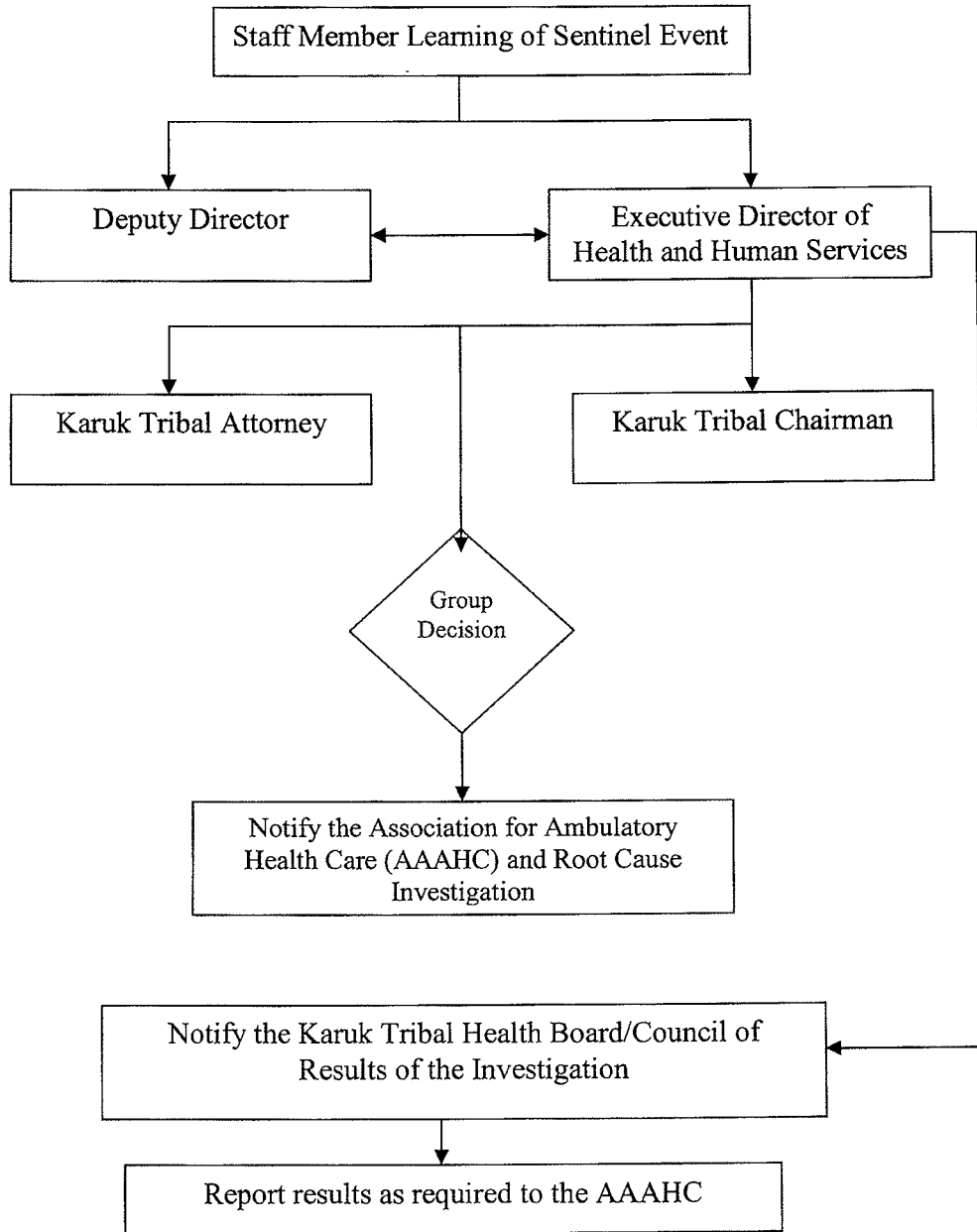
Incident and Occurrence Reporting

Flow Sheet

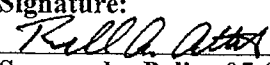
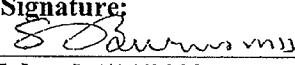


Karuk Tribal Health and Human Services Program

Sentinel Event Flow Chart  
Policy 05-002-226



## Karuk Tribal Health and Human Services Policy Manual

<b>Policy Reference Code:</b> 01 () 02 () 03 () 04 () 13 () 14 () 15 () 16 ()	05 (X) 06 () 07 () 08 () 17 () 18 () 19 () 20 ()	09 () 10 () 11 () 12 () 21 () 22 ()
<b>Function:</b> Quality Management and Improvement	<b>Policy #:</b> 05-002-225	<b>Policy Title:</b> Incident and Occurrence Reporting
<b>Tribal Chairman:</b>  <b>Date:</b> December 15, 2011  <b>Signature:</b> 	<b>Medical Director:</b>  <b>Date:</b> November 2, 2011  <b>Signature:</b> 	<b>Cross References:</b>  Sentinel Event Reporting Policy 05-002-226
<b>Supersedes Policy 05-002-225 dated 5/14/2009</b>		

**POLICY:** The Karuk Tribal Health & Human Services Program (KTHHSP) shall clinically and administratively identify, evaluate and reduce risk of injury to patients, personnel and visitors, and the risk of loss to the organization itself.

### DEFINITIONS:

- **INCIDENT.** A risk situation or unusual happening involving patients, visitors, volunteers, and the general public.
- **OCCURRENCE SCREEN.** A concurrent review process to determine if an event has occurred that is a result of healthcare management and not of the disease process.

### PROCEDURES:

1. **Documentation.** Incident reports shall be written utilizing the attached form and/or utilizing the form and attaching a written document.
2. The following events shall be reported:
  - Disruptions of clinic functions
  - Adverse public relations occurrences
  - Undesirable events inconsistent with routine patient care
  - Violations of established policy and procedure
  - Events that may or do result in injury
  - Security and confidentiality breaches
  - Sudden unexpected adverse results of treatment
  - Biomedical equipment and mechanical failures or problems
  - Defective premise conditions
  - Incidents involving voiced hostility by a parent, visitor, or family member
  - Other adverse events

3. **Responsibility for Reporting.** It is the responsibility of any KTHHSP staff member witnessing, discovering, or having direct knowledge of an incident to file an incident report. Staff is encouraged to report any untoward events and shall not be penalized for reporting. In fact, it is not a punishable offense because serious consequences may result from not reporting an adverse event. Immediately contact the Clinical Operations Administrator (COA) or the Executive Director of Health and Human Services (EDHHS) when a serious incident occurs. For more information see "Sentinel Event" policy.

*ATC  
Deputy Director  
HHS*

4. **Submission of Incident Reports.** Incident reports shall be submitted to the ~~COA~~ <sup>DDHR</sup> COA, who shall review them with the EDHHS.

5. **Copying.** The copying of incident reports and/or documentation in the medical record is not allowed in order to protect them from discovery.

6. No Incident Report forms are to be filed in the patient's health record.



**Pending Action Items:**

- Resolution 13-R-025 to submit for a letter of credit from Scott Valley Bank in the amount of \$75,000
- Procurement for a server for the Orleans Health and Wellness Center
- Procurement for a phone server and a battery backup for the Orleans Health and Wellness Center

**Current Activities:**

- The Verizon phone lines in Orleans for the Medical Clinic and DNR failed 6 times in January and 14 times in February.
- The Klamath River Rural Broadband Initiative CASF application was completed and submitted on time on Friday, February 1<sup>st</sup>. CASF responded on February 14 with questions about the application. All materials they requested during this due diligence were submitted by March 1
- The Orleans Broadband Project is proceeding well. The remaining permits needed to begin construction are from Humboldt County and Verizon California. The Humboldt County Building Permit application has been submitted. The Verizon California Pole Attachment has been completed, and Verizon is waiting for a letter of credit from us in order to execute that agreement. The attached Resolution 13-R-025 is to authorize the letter of credit to Verizon for \$75,000.00
- In March of this year the Karuk Tribe signed an agreement with the California Telehealth Network (CTN) to install faster and less expensive Internet circuits in all 3 medical clinics. All three circuits are now installed and fully operational.
- The Backup Server has been working almost flawlessly, and we currently have backups for the last month's data. The new storage increase for the backup server has arrived and been installed. The backup server is being configured to use the additional space.
- I have submitted a request to Verizon California to meet with their executives. They have requested a meeting in April. I offered a meeting in Orleans, and they accepted.
- We are preparing to wire the new Orleans Health and Wellness Center. The necessary equipment to run the IT resources for the new clinic are attached in two procurements.
- The battery backup in the dental wing of the Happy Camp Community Health Services Office recently failed. We placed a temporary battery in the wiring closet, and we intend to order a new larger battery soon.
- The subscription to our computer anti-virus software, Avast, was recently renewed. In the coming weeks an announcement will be sent out warning all users when the new updated anti-virus software will be deployed to all users.

**Current project priorities for the IT department:**

- 1) Dealing with real-time outages and emergencies
- 2) Making sure all systems are backed up and all backups work
- 3) Repair or replace the tape backup unit in the Admin building
- 4) Orleans Broadband Project, getting ready for deployment in summer of 2013
- 5) Evaluate a new storage area network (SAN) for the data center
- 6) Setting up remote monitoring of all IT Systems
- 7) New phone system linking all the Karuk Yreka offices
- 8) Fiber optic deployment on the HC Admin Campus
- 9) Closeout of the Fiber Project in Happy Camp
- 10) Improve faxing in the Happy Camp offices

**Budget Report for 1020-15 for January, 2013**

- Total annual budget: \$308,001.59
- Expenses to date: \$ 88,168.96
- Balance: \$219,832.63
- Percent Used: 28.63%

**Budget Report for USDA RUS Community Connect Grant 2061-00 for January, 2013**

- Total budget: \$1,141,870.00
- FY 2012 expenses: \$ 102,405.30
- FY 2013 expenses to date: \$ 141,915.00
- Balance: \$ 897,549.70
- Percent Used: 21.40%

**Attachments:**

Resolution 13-R-025  
Cell phone usage log (confidential)

**Karuk Community Health Clinic**

64236 Second Avenue  
Post Office Box 316  
Happy Camp, CA 96039  
Phone: (530) 493-5257  
Fax: (530) 493-5270

**Karuk Tribe**



**Administrative Office**

Phone: (530) 493-1600 • Fax: (530) 493-5322  
64236 Second Avenue • Post Office Box 1016 • Happy Camp, CA 96039

**Karuk Dental Clinic**

64236 Second Avenue  
Post Office Box 1016  
Happy Camp, CA 96039  
Phone: (530) 493-2201  
Fax: (530) 493-5364

**RESOLUTION OF THE  
KARUK TRIBE**

**Resolution No: 13-R-025**  
**Date Approved: March 14, 2013**

**RESOLUTION AUTHORIZING A LETTER OF CREDIT IN THE AMOUNT OF \$75,000 TO VERIZON CALIFORNIA INC. AND THE CREATION OF A NEW BANK ACCOUNT TO SUPPORT THE LETTER OF CREDIT.**

**WHEREAS;** the Karuk Tribe is a Sovereign Aboriginal People, that have lived on their own land since long before the European influx of white men came to this continent; and

**WHEREAS;** the members of the Karuk Tribe have approved Article VI of the Constitution delegating to the Tribal Council the authority and responsibility to exercise by resolution or enactment of Tribal laws all the inherent sovereign powers vested in the Tribe as a Sovereign Aboriginal People, including negotiating and contracting with federal, state, Tribal and local governments, private agencies and consultants; and

**WHEREAS;** the members of the Karuk Tribe have approved Article VIII of the Constitution assigning duties to the Chair, Vice Chair, and Secretary/Treasurer including signing and executing all contracts and official documents pertaining to the Karuk Tribe; and

**WHEREAS;** the Karuk Tribe is a federally recognized Tribe and its Tribal Council is eligible to and is designated as an organization authorized to Contract pursuant to P.L. 93-638, as amended, on behalf of the Karuk Tribe; and

**WHEREAS;** on December 13, 2012 the Karuk Tribe approved Agreement 13-A-013 authorizing pole attachment to Verizon California Inc.'s utility poles; and

**WHEREAS;** Agreement 13-A-013 requires either a bond or a letter of credit in the amount of \$75,000 to Verizon California Inc. to attach to up to 500 utility poles; now

**THEREFORE BE IT RESOLVED;** that the letter of credit will complete the execution of agreement 13-A-013; now

**THEREFORE BE IT FINALLY RESOLVED;** that the Karuk Tribal Council authorizing a letter of credit in the amount of \$75,000 to Verizon California Inc. and the creation of a new bank account to support the letter of credit.

**CERTIFICATION**

I, the Chairman, hereby certify the foregoing resolution [Resolution Number] which was approved at a [type of meeting or phone vote] on [Date], was duly adopted by a vote of \_\_\_\_ AYES, \_\_\_\_ NOES, \_\_\_\_ ABSTAIN, and said resolution has not been rescinded or amended in any way. The Tribal Council is comprised of 9 members of which \_\_\_\_ voted.

\_\_\_\_\_  
Russell Attebery, Chairman

\_\_\_\_\_  
Date

Karuk Community Health Clinic  
64236 Second Avenue  
Post Office Box 316  
Happy Camp, CA 96039  
Phone: (530) 493-5257  
Fax: (530) 493-5270

# Karuk Tribe



Karuk Dental Clinic  
64236 Second Avenue  
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Fax: (530) 493-5364

**Administrative Office**  
Phone: (530) 493-1600 • Fax: (530) 493-5322  
64236 Second Avenue • Post Office Box 1016 • Happy Camp, CA 96039

**Requestor:** Eric Cutright

**Date:** February 21, 2013

**Dept/Program:** Orleans Medical Clinic

**Funding Source:** \_\_\_\_\_

**Check One:**  Small Purchase (less than \$5,000)  Large Purchase (more than \$5,000)\*\*  
 Construction Contract  Other: \_\_\_\_\_  
 Independent Contractor Under \$2,000  
 Independent Contractor Over \$2,000\*\*

\*\*Tribal Council approval is required for: all purchases exceeding \$5,000, all Agreements and all Contracts exceeding \$2,000.

**Procurement #/Type:** \_\_\_\_\_  Three quotes  Sealed Bid  Competitive Proposal

### COMPARATIVE SUMMARY (Minimum of Three Required)

Company Name	Date	Price	Contact/Phone	Indian Y/N
GovConnection, Inc.	2/19/2013	\$ 5,131.56	Sara 800-800-0019 x75566	N
Zones, Inc.	2/19/2013	\$ 5,502.02	Nai 800-258-0882 x53861	N
CDW Government Inc.	2/18/2013	\$ 6,117.76	Charlie 866-239-9077	N

**Name of Selected Vendor:** GovConnection, Inc.

**Basis:**  Lowest Price  Best Qualified Vendor  
 Superior Product/Service  Delivery Service Provided  
 Based on Annual Price Comparisons  
 Sole Source Provider (MUST Attach Detailed Justification)  
 Only Qualified Local Provider Due to Geographic Disadvantage

**Comments:** This procurement is for a server to support the new Health and Wellness Center being built in Orleans

### \*\* REQUIRED SIGNATURES \*\*

\*\* By affixing your signature, you acknowledge that you have reviewed the attached documentation for presentation to Tribal Council.

Eric Cutright  
Requestor

2/21/13  
Date

Laura Mayton  
\*\*Chief Financial Officer  
2/20/2013 - question about shipping left via mail.

3-5-2013  
Date

\*\*Director, Administrative Programs & Compliance

[Signature]  
Date 2/26/2013

\*\*Director of Self Governance(MOU/MOA) or TERO (Contracts)

Date

Other

Date

Per E. Cutright vendor will honor price, even though shipping was not included.

**Karuk Tribe of California**  
For Internal Use Only

**PURCHASE  
REQUISITION**

**Date:** February 21, 2013

**Needed by:**

**Special Instructions:**  
See attached procurement  
documentation

**Terms:**

**Ship Via:**

**To:** GovConnection, Inc.

**Ship To:**

Quantity Ordered	Description	Unit Price	Total
1	IBM Express System x3650 M4 7915EBU	\$2,000.28	\$2,000.28
1	Second Processor for the x3650 M4	\$532.54	\$532.54
3	8GB Memory Upgrade for x3650 M4	\$75.85	\$227.55
4	300GB 10K rpm SAS Hard Drive for IBM	\$215.76	\$863.04
1	Second Power Supply for x3650 M4	\$219.31	\$219.31
1	5 Year 9x5 4-hour response onsite repair warranty	\$1,233.55	\$1,233.55
1	IBM USB Memory Key for VMWare Esii 5.0	\$55.29	\$55.29
			\$0.00
			\$0.00
			\$0.00
			\$0.00
			\$0.00
			\$0.00
			\$0.00
			\$0.00
			\$0.00

**Accounting Data**

Fund Number	Amount	Approvals
	\$5,131.56	

**ATTENTION VENDORS:**  
THIS IS NOT A PURCHASE ORDER, THIS IS AN  
INTERNAL FISCAL DOCUMENT. IT DOES NOT  
CREATE ANY FINANCIAL AUTHORIZATION FOR  
PURCHASES.



**Signature of Individual Requesting P.O.**



ORDERING INFORMATION:

GovConnection, Inc. GSA CONTRACT # GS-35F-0750P

<b>EXPIRATION:</b>	<b>8/17/2014</b>
<b>TERMS:</b>	<b>NET 30</b>
<b>FOB POINT:</b>	<b>DESTINATION (within Continental US)</b>
<b>MAXIMUM ORDER LIMITATION:</b>	<b>NONE</b>
<b>DELIVERY TIME:</b>	<b>1-30 DAYS ARO</b>
<b>CAGE CODE:</b>	<b>OGTJ3</b>
<b>FEIN:</b>	<b>52-1837891</b>
<b>DUNS NUMBER:</b>	<b>80-967-8782</b>
<b>CEC:</b>	<b>80-068888K</b>
<b>BUSINESS SIZE:</b>	<b>LARGE</b>

Ordering Address:  
GovConnection, Inc.  
7503 Standish Place  
Rockville, MD 20855

Remittance Address:  
GovConnection, Inc.  
PO Box 382810  
Pittsburgh, PA 15250-8810

Sales: 301-340-1100

Fax: 301-340-7402

\*\*\*Special shipping/delivery terms such as expedited, heavyweight shipments, inside delivery, and delivery to APO/FPO addresses must be negotiated in advance. Please contact your GovConnection Account Manager for possible optional shipping methods and to get a quote.\*\*\*

**If you require a hard copy invoice for your credit card order, please visit the link below to print one:**

<https://www.govconnection.com/web/Shopping/ProofOfPurchase.htm>

*"This Offer is made subject to Special Contract Terms and Conditions between GovConnection and Buyer (i.e. Schedule Contracts or overriding Agreement), otherwise Standard GovConnection Terms and Conditions found at: <http://www.govconnection.com/IPA/Content/About/Legal/PCCGOV/TermsConditionsSale.htm> shall apply. No other Terms and Conditions shall apply except as mutually agreed upon in writing between GovConnection and Buyer."*



7503 Standish Place  
Rockville, MD 20855

## QUOTATION

Quote # 23363693.03

PLEASE REFER TO THE ABOVE  
QUOTE NUMBER WHEN ORDERING

Date: February 20, 2013

Valid through: March 22, 2013

Account #:

Account Executive: Sara Heiner  
Phone: (800) 800-0019 ext. 75566  
Fax: (603) 683-0436  
Email: sheiner@govconnection.com

### QUOTE PROVIDED TO:

AB#: 118071  
KARUK TRIBE  
ACCOUNTS PAYABLE  
PO BOX 1016  
HAPPY CAMP, CA 96039

### SHIP TO:

AB#: 4551156  
KARUK TRIBE  
64236 SECOND AVE  
HAPPY CAMP ADMINISTRATION  
HAPPY CAMP, CA 96039

DELIVERY		FOB		TERMS		CONTRACT ID #	
1-30 Days A/R/O		Destination		NET 30		GS-35F-0750P	
* Line #	Qty	Item #	Manuf. Part #	Description	Price	Ext	
1	1	14008652	7915EBU	Express System x3650 M4 Xeon 6C E5-2620 2.0GHZ(x1) / 15MB / 8GB / DVDRW / 8x2.5" SAS / SATA HS / 4xGigNIC IBM - TA:GS-35F-0296R COO:MX This line is quoted as On GSA Contract	\$2,000.28	\$2,000.28	
2	1	13855614	69Y5326	Processor, Xeon 6C E5-2620 2.0GHZ, 15MB Cache, for x3650 M4 IBM - TA:GS-35F-0296R COO:MX This line is quoted as On GSA Contract	\$532.54	\$532.54	
3	3	11228828	49Y1397	8GB PC3-10600 240-pin DDR3 SDRAM DIMM for Select IBM Models IBM - TA:GS-35F-0296R COO:MX This line is quoted as On GSA Contract	\$75.85	\$227.55	
4	4	13770231	90Y8877	300GB 10K SAS 6Gb / s 2.5" G2 Hot Swap Hard Drive IBM - TA:GS-35F-0296R COO:MX This line is quoted as On GSA Contract	\$215.76	\$863.04	
5	1	13813262	94Y6668	550W High Efficiency Platinum AC Power Supply for System x IBM - TA:GS-35F-0296R COO:MX This line is quoted as On GSA Contract	\$219.31	\$219.31	
6	1	13804497	00A4412	5-Year ServicePac IOR 9x5x4-Hour Onsite Repair for System X IBM Server Service Packs This line is quoted as OPEN MARKET	\$1,233.55	\$1,233.55	
7	1	13639962	41Y8300	USB Memory Key for VMWare ESXi 5.0 IBM Server Accessories This line is quoted as OPEN MARKET	\$55.29	\$55.29	



7503 Standish Place  
Rockville, MD 20855

## QUOTATION

Quote # 23363693.03

PLEASE REFER TO THE ABOVE  
QUOTE NUMBER WHEN ORDERING

Date: February 20, 2013

Valid through: March 22, 2013

Account #:

Account Executive: Sara Heiner  
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AB#: 118071  
KARUK TRIBE  
ACCOUNTS PAYABLE  
PO BOX 1016  
HAPPY CAMP, CA 96039

### SHIP TO:

AB#: 4551156  
KARUK TRIBE  
64236 SECOND AVE  
HAPPY CAMP ADMINISTRATION  
HAPPY CAMP, CA 96039

<b>DELIVERY</b> 1-30 Days A/R/O	<b>FOB</b> Destination	<b>TERMS</b> NET 30	<b>CONTRACT ID #</b> GS-35F-0750P
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* Line #	Qty	Item #	Manuf. Part #	Description	Price	Ext
8				Pricing only valid until 03/28/13		\$0.00
	Lines: 7				Total Merchandise	\$5,131.56
					Fee	
					Ship Via: Small Pkg Ground Service Level	68. pounds
					Shipping and Handling	\$0.00
					Tax	
					<b>TOTAL</b>	<b>\$5,131.56</b>



# ZONES™

Connecting Business & Technology

02/19/2013

**Bill To :**  
**KARUK TRIBE A/P**  
**PO BOX 1016**  
**HAPPY CAMP CA 96039**  
**Phone : (530) 493-1600**

**Ship To :**  
**KARUK TRIBE**  
**ERIC CUTRIGHT**  
**64236 2ND AVE**  
**HAPPY CAMP CA 96039**  
**Phone : (530) 493-1604**

**Account # 0056979248**

**Quote : S3116876**  
**PO# : X3650 M4 VALIDATED**

Software prices subject to change  
 Hardware quotes are valid for 7 business days  
 Memory Prices are valid for 24 hours only, call for verification

**REMIT PAYMENT TO:**  
**ZONES, INC**  
**P.O. BOX 34740**  
**SEATTLE, WA 98124-1740**

**PLEASE SEND PURCHASE**  
**ORDERS DIRECTLY TO YOUR**  
**ZONES ACCOUNT EXECUTIVE**  
**VIA FAX OR EMAIL**

**Nai Saechin**  
**Account Executive**  
**Phone: (253) 205-3861**

Email: nai.saechin@zones.com

Item#	Qty	Mfr. Name	Description	Manufacturers Part #	Unit Price	Total
P 03632738	1	IBM	XX IBM x3650 M4 6-core Xeon E5-2620 2.0 8GB/0 DVDRW 3yr	7915EBU	2,142.38	2,142.38
P 03633949	1	IBM	Intel Xeon Processor E5-2620 6C 2.0GHz 15MB 1	69Y5326	569.24	569.24
P 03051874	3	IBM	IBM - Memory 8GB (1x8GB 2Rx4 1.35V) PC3L-10600 CL9 ECC D	49Y1397	86.04	258.12
P 03629778	4	IBM	IBM 300GB 10K 6Gbps SAS 2.5 SFF G2HS HDD	90Y8877	229.15	916.60
P 03633975	1	IBM	IBM System x 550W High Efficiency Platinum AC	94Y6668	239.19	239.19
P 03632657	1	IBM	5 Year Onsite Repair 9x5 4 Hour Response	00A4412	1,243.04	1,243.04
P 03766602	1	IBM	IBM USB Memory Key for VMware vSphere Hypervisor ESXi 5.0u1 - USB flash drive	41Y8307	62.29	62.29
P 03632650			Add this warranty to Mfg # 7915EBU for \$911.99 IBM ServicePac 3 Year Onsite Repair 24x7 4 Hour Response	00A4405		
P 03632649			Add this warranty to Mfg # 7915EBU for \$565.99 3 Year Onsite Repair 9x5 4 Hour Response	00A4404		
P 03632651			Add this warranty to Mfg # 7915EBU for \$1100.99 3 Year Onsite Repair 24x7 2 Hour Response	00A4406		

ASK US ABOUT  
 Installations: Server  
 Installations: General  
 On-Site Technical Services  
 Remote Help Desk Support  
 Remote Network OS Support  
 Hourly On-site Technical Service Rates

Visit us on the web: <http://www.zones.com>  
**1102 15th St. SW Suite 102**  
**Auburn, WA 98001**  
**Phone: (800) 419-9663**

**Sub-Total: \$5,430.86**  
**Estimated Sales Tax: \$0.00**  
**FedEx Ground: \$71.16**  
**Grand Total: \$5,502.02**

24 Mo. \$1 Out lease for \$276.09 per month  
 36 Mo. \$1 Out lease for \$197.80 per month

Please Note: Lease Amounts Exclude Tax



**CERTIFIED**  
 as an NIMBC  
**MINORITY BUSINESS**  
**ENTERPRISE**  
 by the NMSDC

**Shipping Terms:** For all shipments, Zones will arrange for shipping to the customer's destination; however, such costs are the responsibility of the customer. For shipments made during the seven calendar days preceding the end of each calendar quarter, title and risk of loss will pass to the customer upon delivery by Zones to the carrier. For all orders shipped within this seven day period, Zones will obtain third-party insurance at its own expense and will assist the customer in filing any claims with the insurance company arising from loss or damage to the shipment during transit. Prices are quoted by volume, and are subject to change without notice. Products sold by Zones are third party products and are subject to the warranties and representations of the applicable manufacturers.  
**RETURNS:** No returns will be accepted without a Return Authorization (RA) Number, requested within 14 days from the invoice date. Software licensing and special-order products are non-returnable. Other products are subject to manufacturer return policies and restrictions. Additional Terms and Conditions apply and are available on our website.

We appreciate this opportunity to earn your business, and look forward to serving you soon! Thank you!



**The Right Technology.  
Right Away.™**

www.CDWG.com  
800-808-4239

# SALES QUOTATION

QUOTE NO.	ACCOUNT NO.	DATE
DGSK775	1619552	2/18/2013

KARUK TRIBE  
B PO BOX 1016  
L 64236 2ND AVE  
L ACCOUNTS PAYABLE  
T HAPPY CAMP, CA 96039-1016  
O

KARUK TRIBE  
S 64236 2ND AVE  
H  
I  
P  
T HAPPY CAMP, CA 96039-1016  
O Contact: ERIC CUTRIGHT 530-493-1604

Customer Phone # 5304935305

Customer P.O. # IBM

QUOTE

ACCOUNT MANAGER	SHIPPING METHOD	TERMS	EXEMPTION CERTIFICATE
CHARLIE CRAWFORD 866-239-9077	DROP SHIP-GROUND	Net 30 Days-Govt-F	

QTY	ITEM NUMBER	DESCRIPTION	UNIT PRICE	EXTENDED PRICE
1	2643849	IBM SYSTEM X3650 M4 EXPR E5-2620 8GB Mfg#: IBJ-7915EBU Contract: MARKET	2311.67	2311.67
1	2644985	IBM INTEL XEON PROCESSOR E5-2620 6C Mfg#: IBX-69Y5326 Contract: MARKET	614.35	614.35
3	2263908	IBM 8GB KIT PC3L-10600 CL9 ECC Mfg#: IBO-49Y1397 Contract: MARKET	95.14	285.42
4	2644291	IBM 300GB SAS 10K 6GBPS 2.5IN HDD Mfg#: IBX-90Y8877 Contract: MARKET	250.24	1000.96
1	2644254	IBM INT MGMT MODULE ADV UPGRADE Mfg#: IBX-90Y3901 Contract: MARKET	255.29	255.29
1	2644861	IBM SYSTEM X 550W AC POWER SUPPLY Mfg#: IBX-94Y6668 Contract: MARKET	255.14	255.14
1	2649535	IBM 5YR ONSITE REPAIR 9X5X4HR Mfg#: IBE-00A4412 Contract: MARKET	1271.78	1271.78
1	2608407	IBM USB MEMORY KEY F/VMWARE ESXI Mfg#: IBO-41Y8300 Contract: MARKET	69.55	69.55

Terms and Conditions:  
<http://www.cdwg.com/content/terms-conditions/default.asp>

**TOTAL** Continued

CDW Government  
230 North Milwaukee Ave.  
Vernon Hills, IL 60061  
General Phone: 847-371-5000 Fax: 847-419-6200  
Account Manager's Direct Fax: 847-371-7251

Please remit payment to:  
CDW Government  
75 Remittance Drive  
Suite 1515  
Chicago, IL 60675-1515



**The Right Technology.  
Right Away.™**

www.CDWG.com  
800-808-4239

# SALES QUOTATION

QUOTE NO.	ACCOUNT NO.	DATE
DGSK775	1619552	2/18/2013

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KARUK TRIBE  
PO BOX 1016  
64236 2ND AVE  
ACCOUNTS PAYABLE  
HAPPY CAMP, CA 96039-1016

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KARUK TRIBE  
64236 2ND AVE  
HAPPY CAMP, CA 96039-1016  
Contact: ERIC CUTRIGHT 530-493-1604

Customer Phone # 5304935305

Customer P.O. # IBM

QUOTE

ACCOUNT MANAGER	SHIPPING METHOD	TERMS	EXEMPTION CERTIFICATE
CHARLIE CRAWFORD 866-239-9077	DROP SHIP-GROUND	Net 30 Days-Govt-F	

QTY	ITEM NUMBER	DESCRIPTION	UNIT PRICE	EXTENDED PRICE
				SUBTOTAL 6064.16
				FREIGHT 53.60
				SALES TAX .00

Terms and Conditions:  
<http://www.cdwg.com/content/terms-conditions/default.asp>

<b>TOTAL</b> ➡	US Currency
	6,117.76

CDW Government  
230 North Milwaukee Ave.  
Vernon Hills, IL 60061  
General Phone: 847-371-5000 Fax: 847-419-6200  
Account Manager's Direct Fax: 847-371-7251

Please remit payment to:  
CDW Government  
75 Remittance Drive  
Suite 1515  
Chicago, IL 60675-1515

---

CDW-G's quote, and the government's subsequent order referencing this quote, incorporates the following terms: Government Authorizes Partial Delivery/Acceptance, and Prompt Payment in accordance with the Prompt Payment Act - and unless otherwise notified Acceptance is constructively accepted on the 7th day after CDW-G delivers supplies or services.

Karuk Community Health Clinic  
64236 Second Avenue  
Post Office Box 316  
Happy Camp, CA 96039  
Phone: (530) 493-5257  
Fax: (530) 493-5270

# Karuk Tribe



Karuk Dental Clinic  
64236 Second Avenue  
Post Office Box 1016  
Happy Camp, CA 96039  
Phone: (530) 493-2201  
Fax: (530) 493-5364

## Administrative Office

Phone: (530) 493-1600 • Fax: (530) 493-5322  
64236 Second Avenue • Post Office Box 1016 • Happy Camp, CA 96039

Requestor: Eric Cutright

Date: February 21, 2013

Dept/Program: Orleans Health Clinic

Funding Source: \_\_\_\_\_

Check One:  Small Purchase (less than \$5,000)  Large Purchase (more than \$5,000)\*\*  
 Construction Contract  Other: \_\_\_\_\_  
 Independent Contractor Under \$2,000  
 Independent Contractor Over \$2,000\*\*

\*\*Tribal Council approval is required for: all purchases exceeding \$5,000, all Agreements and all Contracts exceeding \$2,000.

Procurement #/Type: \_\_\_\_\_  Three quotes  Sealed Bid  Competitive Proposal

### COMPARATIVE SUMMARY (Minimum of Three Required)

Company Name	Date	Price	Contact/Phone	Indian Y/N
GovConnection, Inc.	2/18/2013	\$ 7,217.84	Sara 800-800-0019 x75566	N
Zones, Inc.	2/19/2013	\$ 7,890.72	Nai 800-258-0882 x53861	N
CDW Government, Inc.	2/18/2013	\$ 7,872.09	Charlie 866.239.9077	N

Name of Selected Vendor: Gov Connection, Inc.

Basis:  Lowest Price  Best Qualified Vendor  
 Superior Product/Service  Delivery Service Provided  
 Based on Annual Price Comparisons  
 Sole Source Provider (MUST Attach Detailed Justification)  
 Only Qualified Local Provider Due to Geographic Disadvantage

Comments: This procurement is for an 8 hour battery backup and a phone server for the new Orleans Health and Wellness Center. The Battery Backup will keep the computer server and all the phones in the clinic running when the utility power fails.

### \*\* REQUIRED SIGNATURES \*\*

\*\*By affixing your signature, you acknowledge that you have reviewed the attached documentation for presentation to Tribal Council.

Eric Cutright  
Requestor

2/21/13  
Date

Laura Mayton  
\*\*Chief Financial Officer

3-5-2013  
Date

[Signature]  
\*\*Director, Administrative Programs & Compliance

2/26/2013  
Date

\_\_\_\_\_  
\*\*Director of Self Governance(MOU/MOA) or TERO (Contracts)

\_\_\_\_\_  
Date

Other \_\_\_\_\_

\_\_\_\_\_  
Date

**Karuk Tribe of California**  
For Internal Use Only

**PURCHASE  
REQUISITION**

**Date:** February 21, 2013

**Needed by:**

**Special Instructions:**  
See attached procurement  
documentation

**Terms:**

**Ship Via:**

**To:** GovConnection, Inc.

**Ship To:**

Quantity Ordered	Description	Unit Price	Total
1	APC Smart-UPS 3000 VA Battery Backup	\$1,547.15	\$1,547.15
4	APC Extra Battery Pack	\$663.64	\$2,654.56
1	Raritan Switched and Metered Power Distribution Unit	\$1,118.83	\$1,118.83
5	APC 2U Horizontal Cable Management	\$36.37	\$181.85
1	Lenovo ThinkServer RD330	\$1,035.66	\$1,035.66
1	Lenovo ThinkServer Second Power Supply	\$234.57	\$234.57
2	Lenovo 500GB Hard Drive for ThinkServer	\$173.11	\$346.22
1	Shipping	\$99.00	\$99.00
			\$0.00
			\$0.00
			\$0.00
			\$0.00
			\$0.00
			\$0.00
			\$0.00
			\$0.00

**Accounting Data**

**Fund Number**

**Amount**

**Approvals**

\$7,217.84

**ATTENTION VENDORS:**  
THIS IS NOT A PURCHASE ORDER, THIS IS AN  
INTERNAL FISCAL DOCUMENT. IT DOES NOT  
CREATE ANY FINANCIAL AUTHORIZATION FOR  
PURCHASES.



**Signature of Individual Requesting P.O.**



ORDERING INFORMATION:

OPEN MARKET ORDER

<b>TERMS:</b>	<b>NET 30</b>
<b>DELIVERY TIME:</b>	<b>1-30 DAYS ARO</b>
<b>CAGE CODE:</b>	<b>0GTJ3</b>
<b>FEIN:</b>	<b>52-1837891</b>
<b>DUNS NUMBER:</b>	<b>80-967-8782</b>
<b>BUSINESS SIZE:</b>	<b>LARGE</b>

Per your request, this is an Open Market quotation. Some items may be available via GSA contract or GSA Teaming Agreement, as noted on the line item. If you desire a GSA quotation, please call your Account Manager. To purchase these items as Open Market, please issue a purchase order referencing this quotation.

Ordering Address:  
GovConnection, Inc.  
7503 Standish Place  
Rockville, MD 20855

Remittance Address:  
GovConnection, Inc.  
PO Box 382810  
Pittsburgh, PA 15250-8810

EFT/ACH Bank Information:

<b>Bank:</b>	<b>Citizens Bank</b>
<b>ABA#:</b>	<b>2110-7017-5</b>
<b>Account #:</b>	<b>1310873272</b>

**\*\*\*Special shipping/delivery terms such as expedited, heavyweight shipments, inside delivery, and delivery to APO/FPO addresses must be negotiated in advance. Please contact your GovConnection Account Manager for possible optional shipping methods and to get a quote.\*\*\***

**If you require a hard copy invoice for your credit card order, please visit the link below to print one:**

<https://www.govconnection.com/web/Shopping/ProofOfPurchase.htm>

*"This Offer is made subject to Special Contract Terms and Conditions between GovConnection and Buyer (i.e. Schedule Contracts or overriding Agreement), otherwise Standard GovConnection Terms and Conditions found at: <http://www.govconnection.com/IPA/Content/About/Legal/PCCGOV/TermsConditionsSale.htm> shall apply. No other Terms and Conditions shall apply except as mutually agreed upon in writing between GovConnection and Buyer."*



7503 Standish Place  
Rockville, MD 20855

## QUOTATION

Quote # 23362971.01

PLEASE REFER TO THE ABOVE  
QUOTE NUMBER WHEN ORDERING

Date: February 18, 2013

Valid through: March 20, 2013

Account #:

Account Executive: Sara Heiner  
Phone: (800) 800-0019 ext. 75566  
Fax: (603) 683-0436  
Email: sheiner@govconnection.com

### QUOTE PROVIDED TO:

AB#: 118071  
KARUK TRIBE  
ACCOUNTS PAYABLE  
PO BOX 1016  
HAPPY CAMP, CA 96039

### SHIP TO:

AB#: 4551156  
KARUK TRIBE  
64236 SECOND AVE  
HAPPY CAMP ADMINISTRATION  
HAPPY CAMP, CA 96039

DELIVERY		FOB		TERMS	CONTRACT ID #	
1-30 Days A/R/O		Destination		NET 30	Open Market	
* Line #	Qty	Item #	Manuf. Part #	Description	Price	Ext
1	1	10336173	SMX3000RMLV2UNC	Smart-UPS X 3000VA 100-127V 2U Rack / Tower LCD, Extended Runtime Model with Network Card APC	\$1,547.15	\$1,547.15
2	4	12326681	SMX120RMBP2U	SmartUPS X 120V External Battery Pack Rack / Tower APC	\$663.64	\$2,654.56
3	1	9133651	DPXS20-30L	Switched / Metered PDU 0U RM 120V 30A NEMA L5-30L Input 10ft Cord (20) 5-15R Outlets Raritan Computer, Inc.	\$1,118.83	\$1,118.83
4	5	462517	AR8428	Horizontal Cable Organizer with Pass Through, 2U Black (AR8428) APC - Data Center	\$36.37	\$181.85
5	1	14637731	4304E1U	TopSeller ThinkServer RD330 Xeon QC E5-2407 2.2GHz / 10MB / 4GB / 4x3.5" HS Bays / 2xPCIe / DVD+RW / 550W Lenovo Servers	\$1,035.66	\$1,035.66
6	1	14714303	0A89427	550W Hot Swap Redundant Power Supply for Thinkserver	\$234.57	\$234.57
7	2	14372024	0A89473	Lenovo Features and Options 500GB ThinkServer SATA 6Gb / s 7.2K 3.5" Enterprise Hot Swap Hard Drive Lenovo Server Accessories	\$173.11	\$346.22





7503 Standish Place  
Rockville, MD 20855

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HAPPY CAMP ADMINISTRATION  
HAPPY CAMP, CA 96039

DELIVERY		FOB		TERMS		CONTRACT ID #	
1-30 Days A/R/O		Destination		NET 30		Open Market	
* Line #	Qty	Item #	Manuf. Part #	Description	Price	Ext	
8				Pricing on Lenovo server and hard drives only valid til 03-31-2013		\$0.00	
Lines: 7					Total Merchandise	\$7,118.84	
					Fee		
					Ship Via: Small Pkg Ground Service Level	774. pounds	
					Shipping and Handling	\$99.00	
					Tax		
					<b>TOTAL</b>	<b>\$7,217.84</b>	

# ZONES™

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02/19/2013

**Bill To :**  
**KARUK TRIBE A/P**  
**PO BOX 1016**  
**HAPPY CAMP CA 96039**  
**Phone : (530) 493-1600**

**Ship To :**  
**KARUK TRIBE**  
**ERIC CUTRIGHT**  
**64236 2ND AVE**  
**HAPPY CAMP CA 96039**  
**Phone : (530) 493-1604**

**Account # 0056979248**

**Quote : S3117013**  
**PO# : APC/LENOVO**

Software prices subject to change  
 Hardware quotes are valid for 7 business days  
 Memory Prices are valid for 24 hours only, call for verification

**REMIT PAYMENT TO:**  
**ZONES, INC**  
**P.O. BOX 34740**  
**SEATTLE, WA 98124-1740**

**PLEASE SEND PURCHASE**  
**ORDERS DIRECTLY TO YOUR**  
**ZONES ACCOUNT EXECUTIVE**  
**VIA FAX OR EMAIL**

**Nai Saechin**  
**Account Executive**  
**Phone: (253) 205-3861**

Email: nai.saechin@zones.com

Item#	Qty	Mfr. Name	Description	Manufacturers Part #	Unit Price	Total
A 05269283	1	APC	APC Smart-UPS 3000VA Rack/Tower LCD 100-127V with Network Card	SMX3000RMLV2UNC	1,510.80	1,510.80
A 05269284	4	APC	APC Smart-UPS X-Series 120V External Battery Pack Rack/Tower	SMX120RMBP2U	648.79	2,595.16
A 05402353	1	Raritan Computer Inc	Raritan Dominion PX 20-Outlets 2.9kVA PDU	DPXS20-30L	1,077.61	1,077.61
P 01164339	5	APC	APC 2U HOR CBL ORG W PASS THROUGH	AR8428	42.13	210.65
A 03819330	1	Lenovo	RD330 TS E5-2407 4GB NO HD/OS	4304E1U	1,127.93	1,127.93
P 03821552	1	Lenovo	Lenovo ThinkServer power supply - hot-plug / redundant - 550 Watt	0A89427	219.93	219.93
A 03723804	2	Lenovo	Lenovo hard drive - 500 GB - SATA-600	0A89473	192.48	384.96
A 02361317			Add this warranty to Mfg # SMX3000RMLV2UNC for \$349.99 Service Pack 3 Year Warranty Extension (for new product purchases)	WBEXTWAR3YR-SP-04		
A 02361099			Add this warranty to Mfg # SMX3000RMLV2UNC for \$166.99 APC Extended Warranty Service Pack - technical support - 1 year	WBEXTWAR1YR-SP-04		

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 Installations: Server  
 Installations: General  
 On-Site Technical Services  
 Remote Help Desk Support  
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**Zones, Inc.**  
 Visit us on the web: <http://www.zones.com>  
**1102 15th St. SW Suite 102**  
**Auburn, WA 98001**  
**Phone: (800) 419-9663**

**Sub-Total: \$7,127.04**  
**Estimated Sales Tax: \$0.00**  
**LTL DROP MANUAL HVY: \$763.68**  
**Grand Total: \$7,890.72**

24 Mo. \$1 Out lease for \$395.96 per month  
 36 Mo. \$1 Out lease for \$283.67 per month  
 Please Note: Lease Amounts Exclude Tax



**CERTIFIED**  
 as an NBBC  
**MINORITY BUSINESS**  
**ENTERPRISE**  
 by the NBMSDC

**Shipping Terms:** For all shipments, Zones will arrange for shipping to the customer's destination; however, such costs are the responsibility of the customer. For shipments made during the seven calendar days preceding the end of each calendar quarter, title and risk of loss will pass to the customer upon delivery by Zones to the carrier. For all orders shipped within this seven day period, Zones will obtain third-party insurance at its own expense and will assist the customer in filing any claims with the insurance company arising from loss or damage to the shipment during transit. Prices are quoted by volume, and are subject to change without notice. Products sold by Zones are third party products and are subject to the warranties and representations of the applicable manufacturers.  
**RETURNS:** No returns will be accepted without a Return Authorization (RA) Number, requested within 14 days from the invoice date. Software licensing and special-order products are non-returnable. Other products are subject to manufacturer return policies and restrictions. Additional Terms and Conditions apply and are available on our website.

We appreciate this opportunity to earn your business, and look forward to serving you soon! Thank you!



CDWG.com | 800.594.4239

OE400SPS

# SALES QUOTATION

QUOTE NO.	ACCOUNT NO.	DATE
DGSH912	1619552	2/18/2013

**BILL TO:**  
 KARUK TRIBE  
 PO BOX 1016  
 64236 2ND AVE

**SHIP TO:**  
 KARUK TRIBE  
 64236 2ND AVE

Accounts Payable  
 HAPPY CAMP , CA 96039-1016

HAPPY CAMP , CA 96039-1016  
 Contact: ERIC  
 CUTRIGHT 530.493.1604

Customer Phone #530.493.1604

Customer P.O. # LVO/APC QUOTE

ACCOUNT MANAGER		SHIPPING METHOD	TERMS	EXEMPTION CERTIFICATE
CHARLIE CRAWFORD 866.239.9077		AIT - Deferred, 3-5 Days	Net 30 Days-Govt-Federal	GOVT-EXEMPT
QTY	ITEM NO.	DESCRIPTION	UNIT PRICE	EXTENDED PRICE
1	2260954	APC SMART UPS X 3000VA RT 100-127V Mfg#: SMX3000RMLV2UNC Contract: MARKET	1,616.61	1,616.61
4	2261992	APC SMARTUPS X120V EXT BAT PK RK/TWR Mfg#: SMX120RMBP2U Contract: MARKET	688.11	2,752.44
1	1850490	RARITAN 0U PDU 20OUT 208VA Mfg#: DPXS20-30L Contract: MARKET	1,139.38	1,139.38
5	519326	APC 2U HORIZONTAL CABLE ORGANIZER Mfg#: AR8428 Contract: MARKET	40.01	200.05
1	2798102	LVO TS THINKSERVER RD330 E5-2407 4GB Mfg#: 4304E1U Contract: MARKET	841.57	841.57
1	2803770	LVO THINKSERVER 550W HOT SWAP REDUN Mfg#: 0A89427 Contract: MARKET	238.58	238.58
2	2786219	LVO THINKSERVER 500GB SATA 7.2K HD Mfg#: 0A89473 Contract: MARKET	174.69	349.38
			SUBTOTAL	7,138.01
			FREIGHT	734.08
			TAX	0.00

US Currency

**TOTAL** 7,872.09

CDW Government  
 230 North Milwaukee Ave.  
 Vernon Hills, IL 60061

Fax: 847.371.7251

**Please remit payment to:**  
 CDW Government  
 75 Remittance Drive  
 Suite 1515  
 Chicago, IL 60675-1515

This quote is subject to CDW's Terms and Conditions of Sales and Service Projects at <http://www.cdw.com/content/terms-conditions/product-sales.asp>  
 For more information, contact a CDW account manager.

\*\*\*\*\*

**On Travel Status**

I will be on travel status as of the March 14, 2013 Health Board meeting. I will be with the Vice-chairman at the IHS Tribal Consultation Conference at the Pala Resort and Casino.

\*\*\*\*\*

**Doctor Search**

I have been searching Doc Café to find a physician interested in working in Happy Camp. I have had about 10 inquires into our job, but they are all looking for work in the southern part of the State.

This week I received an inquiry from one doctor who is very interested in coming here, but we found out he is a Naturopathic Doctor. See articles attached. We are checking with our providers, IHS and billers to determine if he is employable. He certainly sounds interesting.

However, I am still searching.

\*\*\*\*\*

**Emergency Preparedness**

I met with Thomas Fielden and Tiffany Ashworth regarding my assignment to the logistics section of the emergency response team. I am also required to complete ICS 700 and 800 but I have some time to accomplish this.

\*\*\*\*\*

**Management Team Meeting**

I organized the first management team meeting that was held on February 7, 2013 at the Housing office conference room. There was a great turn-out of staff present. Michael Thom, Jody Waddell and Elsa Goodwin attended for part of the meeting. Sub committees were selected to work on various proposals. I was assigned to the maintenance subcommittee but was unable to attend due to an important

webinar. The next meeting is scheduled for April 4, 2013.

\*\*\*\*\*

**Personnel Matters**

It seems we have had an influx of personnel issues this month. Some I involved the Board in and others were handled internally.

\*\*\*\*\*

**Managed Care Contracting Webinar  
February 12, 2013**

I, Suzanna Hardenburger, Rondi Johnson and Vickie Simmons listened to the webinar on contracting for Managed Care. The information was very important, they gave good handouts, but the presentation wasn't the best. However, we will be involved immediately as June 1, 2013 is the start date for Medi-Cal Managed Care. This webinar was hosted by the CA Primary Care Association.

\*\*\*\*\*

***Word Just IN***

I received word from Doreen Bradshaw that Siskiyou County and the other 6 counties received the COHS model. This is what HANC has been working on for the last year, and why I have been attending so many HANC conferences. This is great news!

\*\*\*\*\*

**Orleans Clinic**

Fabian began vacation on February 28, and will return on March 20, 2013. During his absence we will have a provider available two days each week. Thanks to Chelsea Chambers and Lisa Rugg.

\*\*\*\*\*

**ED Advisory Committee**

This committee met on Tuesday February 26, 2013. Our recorder did not submit the minutes, so again I have no minutes for you. However, we went over our progress towards implementing

\*\*\*\*\*

the recommendations from the HRSA site visit. In addition, staff reported on their activities.

\*\*\*\*\*

Two Part Series on the FTCA Application

HRSA's redeeming application for the FTCA will be due soon, and to prepare sites on how to complete the application they are holding two webinars. The first was on the 26th and the second on the 28th. I have been listening in.

\*\*\*\*\*

ICBG for Social Services

I met with Meagan yesterday regarding the Social Services proposal. Then as needed, I had Vickie and Rondi attend the Public meeting with me so we could sign Megan's attendance sheet.

\*\*\*\*\*

Budget Update

Account numbers 3000 -39000 are well within the percentage of expenditure for 5 months of the Fiscal Year.

\*\*\*\*\*



Invitation to Attend Telehealth Summit

Please consider this opportunity to attend the Telehealth Summit for which there will be travel support for BTOP/Model eHealth Community Participants. If you would like to take advantage of travel support for 1-2 attendees from your site, it is imperative that you submit the names of these individuals to either Rosario Arreola-Pro or myself as soon as possible. The travel support includes registration, transportation cost, and lodging at the Meritage Resort in Napa, CA. Amy Coapman and I will be attending this summit, and Suzanna Hardenburger if room is available.

\*\*\*\*\*

March Starts Much Travel

Schedule:

- March 5-7 CMS Annual Designee Meeting – Sacramento, CA
- March 8 – HANC – Redding, CA
- March 11-14 – IHS Tribal Consultation
- April 11- 13 – Quality Management Ontario, CA
- April 14-16 Telehealth Summit – Nappa, CA



Need approval for CRIHB Support letter attached.

*March 14, 2013*

Dear Mr. Crouch:

The Karuk Tribal Health and Human Service Program is pleased to support the California Rural Indian Health Board (CRIHB) proposal to the State of California for the Covered California Outreach and Education grant. The Covered California grant opportunity will fund CRIHB to conduct public awareness through outreach and education activities to California's uninsured American Indian/Alaska Native (AIAN) population. We strongly support this grant application and its focus on reducing health disparities among AIAN by assuring access to affordable, high quality care.

As an organization that provides quality, comprehensive, and affordable health care to the AIAN population we feel it is our mission to help AIAN and their families overcome individual barriers and challenges to accessing health care. Through this letter of support, we commit to our collaboration on the project and will work with CRIHB to help raise awareness about the new Healthcare Reform environment in California's insurance marketplace, educate about health insurance options and motivate AIAN to enroll in some category of health insurance coverage.

We look forward to working with you in eliminating health disparities in our community and significantly reduce the number of uninsured American Indian/Alaska Natives in California.

Sincerely,

Lessie Aubrey,  
Executive Director of Health and Human Services  
Karuk Tribe

## **What is a Naturopathic Doctor?**

Naturopathic physicians combine the wisdom of nature with the rigors of modern science. Steeped in traditional healing methods, principles and practices, naturopathic medicine focuses on holistic, proactive prevention and comprehensive diagnosis and treatment. By using protocols that minimize the risk of harm, naturopathic physicians help facilitate the body's inherent ability to restore and maintain optimal health. It is the naturopathic physician's role to identify and remove barriers to good health by helping to create a healing internal and external environment.

Naturopathic physicians work in private practices, hospitals, clinics and community health centers. NDs practice throughout the United States and Canada. Qualified naturopathic physicians undergo rigorous training before they become licensed health-care practitioners. Visit our Professional Education page to learn about naturopathic education.

NDs treat all medical conditions and can provide both individual and family health care. Among the most common ailments they treat are allergies, chronic pain, digestive issues, hormonal imbalances, obesity, respiratory conditions, heart disease, fertility problems, menopause, adrenal fatigue, cancer, fibromyalgia and chronic fatigue syndrome. NDs can perform minor surgeries, such as removing cysts or stitching up superficial wounds. However, they do not practice major surgery. NDs are trained to utilize prescription drugs, although the emphasis of naturopathic medicine is the use of natural healing agents.

### **Your First Visit**

A naturopathic physician will take time with you. During your first appointment, your doctor will take your health history, find out about your diet, stress levels, use of tobacco and alcohol, and discuss why you're there. He or she may perform an examination and order diagnostic tests. Naturopathic physicians keep themselves up-to-date on the latest scientific research and incorporate this evidence into their treatments. The naturopathic physician will work with you to set up a customized health management strategy. If necessary, your doctor will refer you to other health-care practitioners.

A first visit with a patient may last one to two hours and follow-up visits range from 30 to 60 minutes, although this varies depending on the ND. Naturopathic physicians need sufficient time to ask questions and understand the patient's health goals. NDs also need time to gather information, do an appropriate examination and teach his or her patients about managing their condition and improving their health. An ND may also use diagnostic tests to fully understand their patient's health status. Besides taking the time to carefully and fully assess a patient's root problem, NDs speak and understand the language of conventional medicine. They can diagnose the way MDs do—yet, they bring to the patient a whole new arsenal of treatments and insights. Instead of waiting for a disease to emerge, NDs work to head it off before it happens.

# Professional Education

A licensed naturopathic physician (ND) attends a four-year, graduate-level naturopathic medical school and is educated in all of the same basic sciences as an MD, but also studies holistic and nontoxic approaches to therapy with a strong emphasis on disease prevention and optimizing wellness. In addition to a standard medical curriculum, the naturopathic physician is required to complete four years of training in clinical nutrition, acupuncture, homeopathic medicine, botanical medicine, psychology, and counseling (to encourage people to make lifestyle changes in support of their personal health). A naturopathic physician takes rigorous professional board exams so that he or she may be licensed by a state or jurisdiction as a primary care general practice physician. Please see the [AANMC's Professional Competency Profile](#) for more information.



MONTHLY REVENUE REPORT		BUSINESS OFFICE			
FEBRUARY 2013		Happy Camp	Yreka	Orleans	KTHP
Revenue Medical		\$57,644.35	\$80,483.84	\$17,835.12	\$155,963.31
Revenue Dental		\$19,800.15	\$59,563.25	0	\$79,363.40
Revenue Mental Health		\$2,114.20	\$1,185.99	\$316.00	\$3,616.19
<b>Revenue Grand Total</b>		<b>\$79,558.70</b>	<b>\$141,233.08</b>	<b>\$18,151.12</b>	<b>\$238,942.90</b>
		<b>Happy Camp</b>	<b>Yreka</b>	<b>Orleans</b>	<b>KTHP</b>
Billing February Medical		\$76,719.79	\$66,837.14	\$ 18,880.00	\$162,436.93
Billing February Dental		\$37,824.50	\$69,920.10		\$107,744.60
Billing February Mental Health		\$6,884.00	\$7,517.00	\$ 3,206.00	\$17,607.00
<b>Billed Grand Total</b>		<b>\$121,428.29</b>	<b>\$144,274.24</b>	<b>\$ 22,086.00</b>	<b>\$287,788.53</b>
<b>BILLING DEPARTMENT BUDGET JANUARY 2013</b>					
		AVAILABLE %			
PROGRAM	YEAR END ANNUAL	EXPENSES TO			Could be spent
YEAR	BUDGET	DATE	BALANCE	% USED	at this date
FY 2013	\$460,955.78	\$119,067.11	\$341,706.34	<b>25.86%</b>	33.36%

**KARUK TRIBE HEALTH AND HUMAN SERVICES**

**BUSINESS OFFICE HEALTH BOARD REPORT**

**MEETING DATE MARCH 14, 2013**

At this time all medical data is caught up to date; except one physician who is slightly in arrears. Billing is right on top of the data analysts. Yreka Dental data entry billing is back in January while Happy Camp Dental is up to date. Behavioral Health is about 4 weeks behind also.

Many of the insurers are requiring that we again enroll our providers anew. I am not sure if this is due to the situation of the state's financial affairs, what is expected with the insurance exchange and managed care or due to the numerous staffing changes we have had during the past six months. It actually makes no difference it is mandatory and that's all there is to it; but it is very time consuming.

I have been and will be traveling frequently with Lessie and Rondi to many of the insurance meetings to help us make as best a decision as we can for our future. Since at this time we have no one who is an expert in insurance contracting or even does our contracting, this is a very difficult and worrisome task.

Michele Wroblewski is attending a IHS training for a new biller. She is doing well for a new person and now we will begin refining her capabilities. She will then attend the more advanced class when it becomes available.

In May there is the CRIHB annual Billing and Compliance conference; probably in Reno, Nevada. I will attempt to have myself and as many of the billing department and data analysts attend as we can allow. This is frequently one of the most informative meetings during the year for our department. It includes information on coding, billing and documentation/ compliance. It is best when each person is able to hear this information for themselves and can interpret and question for their own experience and needs.

Attached you will find the financial reports.

Respectfully submitted

Suzanna Hardenburger, CCS-P

